



# Internal Audit Division Quarterly Status Update

Audit and Reporting Committee

September 15, 2016

 **SOUNDTRANSIT**

# Internal Audit Update

- Internal Audits In Progress
- Potential Topics For
  - 2017 Internal Audit Work Plan
  - 2017 Performance Audit

The image shows the front of a white bus with a wheelchair lift extended. The bus has a wheelchair symbol on the top left of the windshield. The lift is a metal frame with a seat and handrails, positioned in front of the bus. The entire image is overlaid with a dark blue tint. The text "Internal Audits in Progress" is written in white, sans-serif font across the middle of the image.

Internal Audits in Progress

# Audits in Progress

- Wireless Device Program
- Records Management
- Diversity Program Reporting
- Facilities Service Contract Monitoring
- Indirect Cost Rate
- Construction Change Order Practices
- Agency-wide Information Technology Risk Assessment
- 2016 Performance Audit of Job Order Contracting (JOC)

The image shows the front of a bus with a wheelchair ramp extended. The bus is white with a blue tint overlay. A wheelchair icon is visible on the top left of the windshield. The number '9643K' is visible on the front of the bus. The text 'Potential Topics for 2017 Internal Audit Work Plan and Performance Audit' is overlaid in white on the left side of the image.

# Potential Topics for 2017 Internal Audit Work Plan and Performance Audit

# Potential Topics for 2017 IA Work Plan

- Information Technology
- Misc. Revenues
- Indirect Cost Payments
- Federal Grant Reimbursements
- Overtime
- Prior Audit Follow-Ups
  - Non-Revenue Vehicles
  - Partner Cost Monitoring
  - Many more...
- Continuous Monitoring
  - Procurement Card
  - Contract Payments
  - Many more..
- Non-Construction Change Orders
- Wire Transfers
- Customer Service/Complaint
- Special Requests

# Potential Topics for 2017 Performance Audit

- Construction Contingency Budgets
- Real Property Acquisitions
- Construction Scheduling
- Construction to Operations Transition
- Project Cost Controls
- Asset Management System



The image features a dark grey horizontal banner across the center. On the left side of the banner is the Sound Transit logo, which consists of a stylized white 'S' and 'T' combined into a square shape. To the right of the logo, the words 'SOUNDTRANSIT' are written in a bold, white, sans-serif font. Below 'SOUNDTRANSIT', the slogan 'RIDE THE WAVE' is written in a smaller, white, sans-serif font. The background of the entire image is a close-up of a transit vehicle with a white upper section and a blue lower section, separated by a wavy teal and blue graphic. The vehicle has several dark windows and some small white signs.

**SOUNDTRANSIT**  
RIDE THE WAVE