

***Internal Audit Division  
Update***

***Finance and Audit Committee***

***2/21/2019***

# *Internal Audit Activity Update*

- Internal Audits in Progress
- 2019 Internal Audit Workplan
- Topics for the 2019 Performance Audit

# *Internal Audits in Progress*

# *Audits in Progress*

- PLA Compliance Management
- Customer Complaints Management
- Construction Configuration Management
- Partner Cost Monitoring – King County Metro

# *2019 Internal Audit Workplan*

# 209 Internal Audit Workplan

- IT Information Security Governance\* Program
- Partner Cost Monitoring – Pierce Transit\*
- Budgeting During Project Development\*
- Construction Configuration Mgmt.\*
- Partner Cost Monitoring – King County Metro
- Work Order Process at OMF
- Small and Attractive Assets Process
- QA/QC Assurance Program
- Continuous Process Improvement
- IT Project Management
- Construction Progress Payment Processing
- Use of Construction Contingency
- Continuous Monitoring of Cash Disbursements – P-card, T-card, honorariums, misc., etc.
- Prior Audit Issue Follow-Up
- Management Requests

\* carryover from 2018 work plan

***2019 Performance Audit  
Topics***

# *Potential Topics for the 2019 Performance Audit*

- Phase Gate Process \*
  - Is the process designed and implemented effectively to provide intended benefits of project transparency and a high level of collaboration among stakeholders?
- Workforce Performance Management
  - Is the system effective in managing the talents in the workforce?
- \*Internal Audit Suggestion for 2019



*Thank you.*



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