

Internal Audit Division Update

Finance and Audit Committee

5/14/2026

Data Classification: Unrestricted



Why we are here

- Audits completed Q1 2026
 - 2026 Audit Dashboard
- In-progress audits Q2 2026

Informational update with no committee actions required.

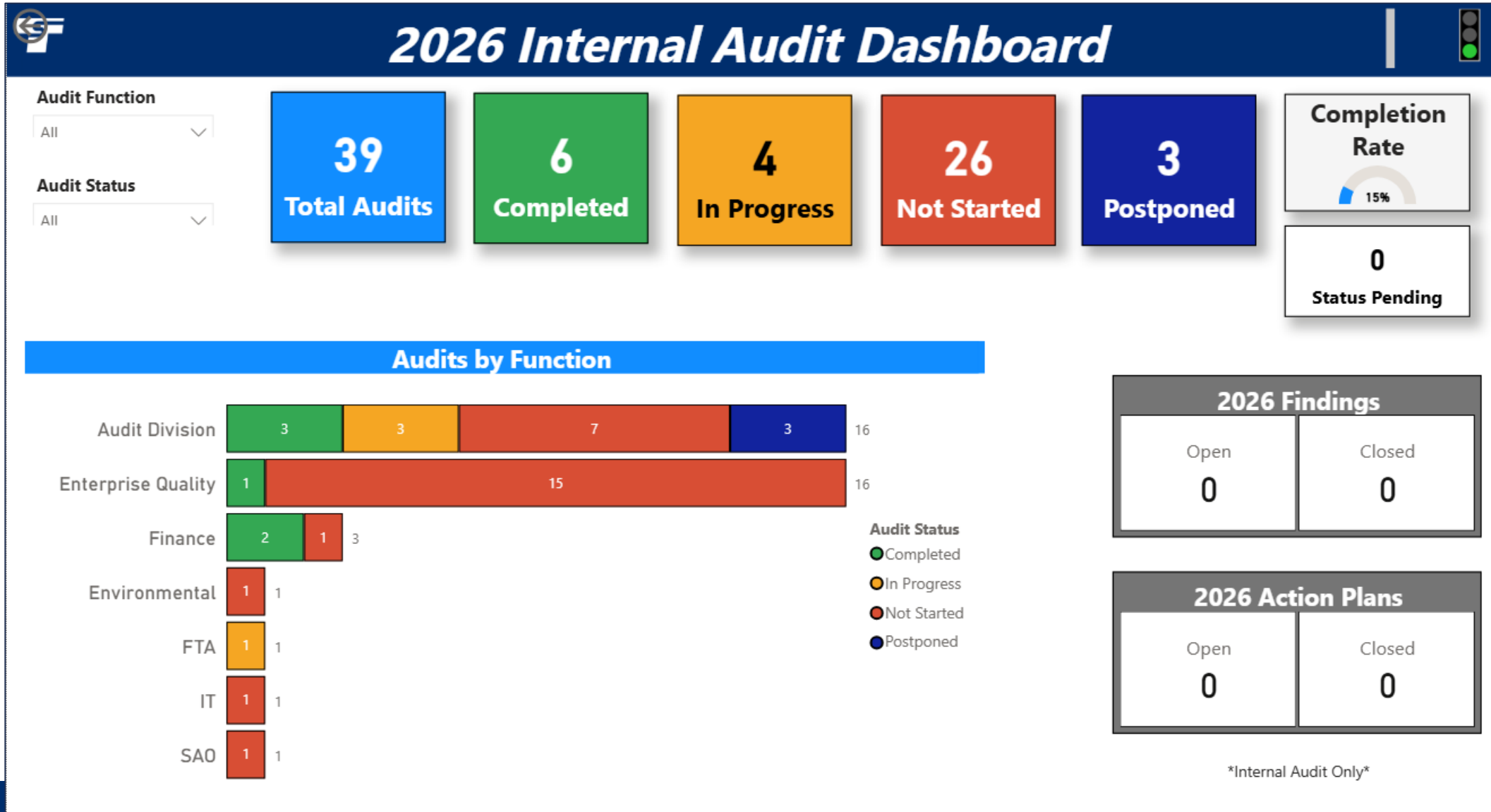
Audits Completed Q1 2026

Results summary

3 audits with no (0) findings

- Sounder Commuter Rail Annual Compliance Audit
- Sounder Accident & Incident Reporting Annual Audit
 - **Recommendation:** Establish a documented training program for Sound Transit Federal Railroad Administration (FRA) Reporting Officers
- East Link – Cross Lake Connection Readiness Certification Audit
 - **Recommendation:** Uploaded documentation has missing or incorrect references
 - **Recommendation:** System Integrated Testing reports contained additional outdated requirements

2026 Audit Dashboard



In-progress audits Q2 2026



Tacoma Link Annual Compliance Audit

- To be performed annually by federal & state safety oversight standards.
- Confirms required safety processes and controls are in place and operating as intended for operations & maintenance.



Environmental & Sustainability Management System (ESMS) Internal Audit

- Provides assurance that the agency's Environmental Management System complies with ISO 14001 requirements.
- Supports regulatory compliance by validating the effectiveness of environmental controls and processes.

Thank you.



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