



AGENCY SAFETY PLAN

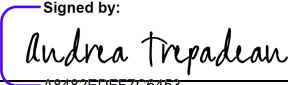
September 2026
Safety Division



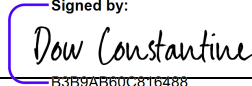
Plan Approval

Approval of this document signifies formal authorization of the program plan, affirms its alignment with Sound Transit’s strategic objectives, regulatory and compliance requirements, and reflects leadership’s commitment to support implementation, including the allocation of necessary resources.

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2022 V3.0	01/2023	Required annual regulatory and organizational update.
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¹ Revision Summary Documents available upon request

Executive Summary

Purpose

Sound Transit's Agency Safety Plan (ASP) is a federally mandated document (49 CFR § 673) that governs the Safety Management System (SMS) for Link Light Rail, Tacoma Link (T Line), and ST Express bus service. Sounder Commuter Rail is covered under a separate FRA-regulated plan.

The ASP proactively manages safety risk and strengthens safety culture through four SMS components: Safety Management Policy, Safety Risk Management, Safety Assurance, and Safety Promotion. The CEO serves as Accountable Executive, delegating day-to-day oversight to the Chief Safety Officer, with the Employee Safety Committee reviewing and approving the plan before Board adoption.

The 2026 updates expand safety performance measures from seven to fifteen, and integrate Risk Reduction Program targets (major events, collisions, injuries, assaults on transit workers). This year's plan also addresses minor updates to the safety committee and how committee disputes are resolved.

The plan covers hazard identification and mitigation, safety data monitoring, incident investigation, corrective action tracking, and coordination with WSDOT as State Safety Oversight agency. Operating partners (KCM, Pierce Transit, Community Transit) maintain aligned safety plans and are reviewed annually. Sound Transit's ASP is reviewed yearly and submitted to WSDOT for compliance approval.

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1 INTRODUCTION

1.1 Overview

This document is Sound Transit’s (ST) Public Transportation Agency Safety Plan (ASP) for the bus and rail systems: Link light rail (LLR), Tacoma Link light rail (T Line), and ST Express (STX) bus service. Sound Transit also manages Sounder Commuter Rail (SCR); however, all information related to SCR is detailed in a separate manual, the SCR System Safety Plan. Sound Transit works to fulfill the mission and vision of transforming the map of the Central Puget Sound, while fulfilling its mission of connecting more people to more places. Sound Transit is committed to the safe design, construction, maintenance, and operation of all service modes, protecting employees, contractors, customers, and the communities we serve. The ASP provides a high-level overview of the Sound Transit Safety Management System (SMS) and affiliated processes, developed in accordance with 49 CFR § 673. The purpose of the ASP is to inform staff of documented processes and procedures, reference functional safety protocols, and provide a safety resource.



Figure 1. Our Vision and Mission

This plan establishes accountability and responsibility at the top levels of the organization, evidenced by the approved [Board Safety Policy](#) and CEO commitment to the agency Safety Management Policy, which includes providing necessary resources to sustain and improve the Sound Transit safety culture. This document serves as the framework for the Sound Transit SMS (Figure 2) and maps all processes and procedures that support it.

This ASP links supporting plans, manuals, and other safety documentation to the overall processes and components of SMS to create feedback and continuity within the system. Sound Transit’s Safety Management System and [SMS Implementation Plan](#) outline the development of processes and procedures, maintenance of records, and identifies opportunities to improve safety implementation across the agency.

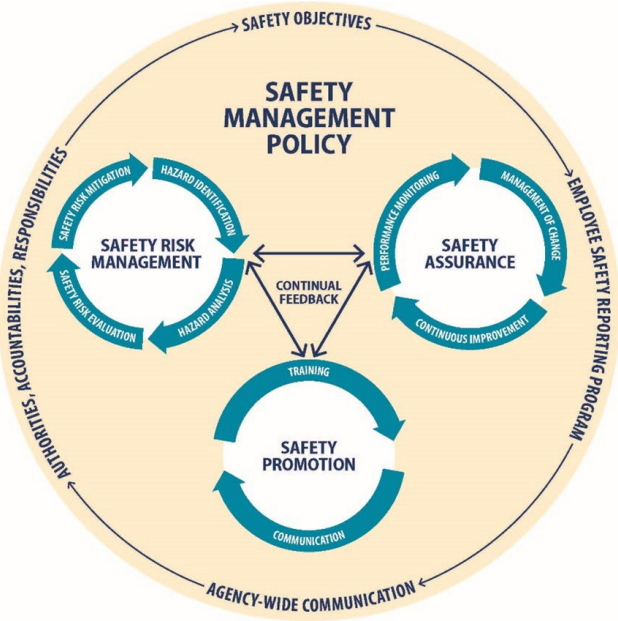


Figure 2: SMS Flow Diagram

1.2 Sound Transit Background

The Central Puget Sound Regional Transit Authority (Sound Transit) was authorized by the Washington legislature and codified in the Washington State Law (Revised Code of Washington Chapter 81.112 – Regional Transportation Authorities). Sound Transit was created in 1993 to plan, build, and operate the commuter rail, light rail, express bus services, and facilities for the Central Puget Sound region, to serve the counties of King, Pierce, and Snohomish. On November 5, 1996, voters within the three-county Sound Transit district approved an increase in local taxes to fund the local share of Sound Move investments. Sound Transit is located at 401 South Jackson Street, in Seattle, WA. More information about the [history of Sound Transit](#) may be found on the SoundTransit.org website.

1.2.1 BOARD OF DIRECTORS

Sound Transit is governed by an 18-member Board made up of local elected officials proportional to the population included in the Sound Transit district. Three members are from Snohomish County; ten from King County; and four from Pierce County. The last seat is held by the Washington State Secretary of Transportation.

The Board establishes policies, gives direction, and provides oversight in how ST plans, builds, operates, and maintains its assets. It is empowered under state law to identify ballot measures for voter approval of regional transit projects and maintains the Long-Range Plan that identifies potential projects to submit to voters.

At critical milestones of every voter-approved project, the Board makes key decisions by adopting budgets, identifying alternatives to include in environmental review, selecting the preferred alternative, determining the final project to be built and establishing baselines for project scope, schedule, and budget. The Board also approves major contracts.

The Board and its committees work in regularly scheduled meetings open to the public. There are four Board committees: the Executive Committee, the Rider Experience and Operations Committee, the System Expansion Committee, and the Finance and Audit Committee.

1. The Executive Committee's responsibilities include oversight and review of board rules and structure, agency policies, legislative planning, government relations and communications strategies.
2. The Rider Experience and Operations Committee oversees the agency's operating plans and transit services as well as activities and communications affecting rider experience.
3. The System Expansion Committee oversees projects that expand transit options in the region including scope, budget, schedule and construction activities, and strategies for project development and delivery.
4. The Finance and Audit Committee is responsible for the oversight/review of the agency's financial plan, financial statements, all internal and external audits, and internal controls.

More information regarding the Board of Directors may be found at [SoundTransit.org](#).

1.2.2 DELEGATION OF AUTHORITY AND ACCOUNTABILITY IN SMS

The Board of Directors has delegated the authority and accountability for day-to-day operation and safety of Sound Transit to the Chief Executive Officer (CEO) by naming them the Accountable Executive (AE). The Sound Transit AE has designated the Chief Safety Officer (CSO) as the SMS Executive and delegated the CSO authority for the day-to-day agency oversight of safety for all operating systems. The CSO maintains a direct reporting line to the AE and is responsible for the implementation and operation of the agency's SMS. The Deputy CEO- Chief Transit Operations Officer oversees activities of FTA regulated Tacoma Link light rail, Link light rail, ST Express, and the Federal Railroad Administration (FRA) regulated Sounder Commuter Rail (SCR).

This ASP will be approved for implementation under Sound Transit authority and accepted by the Chief Executive Officer and the ST Board of Directors. ST Board approval documentation and copy of the ASP can be provided upon request or may be found at [SoundTransit.org](https://www.soundtransit.org).

1.3 Scope and Purpose

1.3.1 SCOPE

The ASP applies to the Link light rail (LLR), Tacoma Link light rail (T Line), and ST Express (STX) services. The Sounder commuter rail (SCR) safety program requirements are documented in the current [SCR System Safety Program](#), which was modified to comply with the [FRA 49 CFR § 270](#) rule.

The SMS applies to all agency activities and duly applies to all employees and the safety oversight of our contracted activities. Contractors, consultants, and partners are required to meet applicable FTA, Labor & Industries, or other minimum requirements in establishing safety plans in accordance with any federal or state legislation and contractual obligations. See [Section 6.3 Procurement](#) for safety related specifications. The methods and processes in the Agency Safety Plan (ASP) apply to all phases of the rail and bus transit system life cycle.

Sound Transit's LLR and STX operating partner, King County Metro (KCM) has developed an ASP that governs the activities of KCM's organization as it relates to their safety management processes and operating practices. Other Sound Transit ST Express operating partners, Pierce Transit, and Community Transit maintain Agency Safety Plans to comply with [49 CFR § 673](#). Partner Agency Safety Plans must meet or exceed those noted in Sound Transit's ASP for ST-owned transit lines of service.

The scope of this plan is audited, in cooperation with our partners, to ensure full implementation of the Safety Management System (SMS) throughout Sound Transit and ST-owned services.

1.3.2 PURPOSE

Sound Transit's ASP incorporates all the elements of our safety programs, referencing documents of record, and aligning all safety processes. The SMS will increase effectiveness, check that the processes are working as intended, and align departments under a common objective.

The SMS is intended to work in conjunction with the other ST management systems, including, but not limited to, environmental, asset management, quality, and information security. Additionally, Security and Emergency Management functions remain part of our safety processes and contribute to the policies and procedures developed for FTA compliance.

1.4 Organizational Chart

The ST Organizational Overview has been included in this plan in [Appendix B](#). Additional organizational charts may be provided upon request. [Organizational charts](#) are updated by People and Culture, and are retained on the ST intranet site, [The Hub](#).

1.5 System Description

System descriptions for LLR 1 and 2 Lines, T Line, and STX have been included in [Appendix A](#). Descriptions will be reviewed annually and updated as needed by Safety staff or a delegated subject matter expert. Sound Transit does not operate any service on behalf of another transit agency or entity.

1.6 Expected Outcomes

The expected outcomes of SMS provide the agency a process-focused approach to managing safety risk to the optimum level – As Low as Reasonably Practicable (ALARP). This goal is reflected in the safety activities integrated during the planning, design, construction, operation, and maintenance phases of transit projects and services. The expected outcomes of SMS include:

- Continuously improving safety culture
- Meeting and exceeding safety targets to provide the highest level of safety (ALARP)
- Meeting applicable requirements of regulatory agencies
- Effectively monitoring and measuring safety performance
- Ensuring mitigations are effective and validated

Operational and [Employee Safety committee](#) charters reference goals around continuous improvement and reducing risk. Employee Safety and Operational Safety modal committee charters will link to either modal goals, the Risk Reduction Program, or to the ASP, and are available upon request.

2 ACRONYMS AND DEFINITIONS

2.1 Acronyms

AAR	After-Action Report
AE	Accountable Executive
ALARP	As Low as Reasonably Practicable
APP	Accident Prevention Program
ASP	Agency Safety Plan
CAD	Computer Aided Dispatch
CAP	Corrective Action Plan
CEO	Chief Executive Officer
CFR	Code of Federal Regulations
CSO	Chief Safety Officer
EAMS	Enterprise Asset Management System
EOP	Emergency Operations Plan
ESC	Employee Safety Committee
ESRT	Employee Safety Reporting Tool
FLSSC	Fire/Life Safety and Security Committee
FRA	Federal Railroad Administration
FTA	Federal Transit Administration
ISO	International Standards Organization
KCM	King County Metro
LLR	Link Light Rail
LRV	Light Rail Vehicle
NTD	National Transit Database
OMF	Operations Maintenance Facility
OSHA	Occupational Safety and Health Administration
PHA	Preliminary Hazard Analysis
PTASP	Public Transportation Agency Safety Plan
PTSCTP	Public Transportation Safety Certification Training Program
RAPID	Recommend, Agree, Perform, Input and Decide
RCW	Revised Code of Washington
RTA	Rail Transit Agency
SCADA	Supervisory Control and Data Acquisition
SDS	Safety Data Sheets
SMS	Safety Management System
SOG	State of Good Repair
SOP	Standard Operating Procedure
SRM	Safety Risk Management
SSCP	Safety and Security Certification Plan
SSMP	Safety and Security Management Plan
SSO	State Safety Oversight
ST	Sound Transit (Central Puget Sound Regional Transit Authority)
STX	ST Express
TAM	Transit Asset Management

TLSSC	Tacoma Link Safety and Security Committee
WAC	Washington Administrative Code
WSDOT	Washington State Department of Transportation

2.2 Definitions

Accountable Executive: Single, identifiable person who has the ultimate responsibility for carrying out the Public Transportation Agency Safety Plan of a public transportation agency; responsibility of carrying out the agency's Transit Asset Management Plan; and control and direction over the human and capital resources needed to develop and maintain both the agency's Public Transportation Agency Safety Plan in accordance with [49 CFR § 673](#).

Agency: Sound Transit.

Assault on a Transit Worker: Defined under [49 U.S.C. 5302](#), a circumstance in which an individual knowingly, without lawful authority or permission, and with intent to endanger the safety of any individual, or with a reckless disregard for the safety of human life, interferes with, disables, or incapacitates a transit worker while the transit worker is performing the duties of a transit worker.

Cause: The combination of simultaneous and sequential factors without any of which the safety event could not have occurred.

Chief Safety Officer: An adequately trained individual who has responsibility for safety and has been delegated the authority for the day-to-day Agency oversight of safety for all operating systems.

Collision: A vehicle accident in which there is an impact of a transit vehicle with:

- Another transit vehicle
- A non-transit vehicle
- A fixed object
- A person(s) (suicide/attempted suicide included)
- An animal
- A rail vehicle

Note: Normal yard movements including coupling or uncoupling trains, shifting, or setting out cars, etc. are not considered collisions.

Contractor: An entity that performs tasks required by contract or agreement, on behalf of the agency.

Corrective Action Plan: A plan developed by the agency that describes the actions the agency will take to minimize, control, correct, or eliminate risks and hazards, and the schedule for taking those actions. Either a State Safety Oversight Agency or FTA may require Sound Transit to develop and carry out a corrective action plan, as pertaining to rail. This definition is established by agency standards, with respect to the formal FTA definition.

Derailment: A non-collision event in which one or more wheels of a rail transit vehicle unintentionally leaves the rails.

Drill: A type of operations-based emergency exercise that is used to assess a specific function or capability in a single agency or organization. Drills are commonly used to provide training on new equipment, validate procedures, or practice and maintain current skills.

Emergency: A serious event that consists of any unwanted operational, civil, natural phenomenon, or security occurrence that could endanger or adversely affect people, property, or the environment.

Enterprise Asset Management System: The Agency's asset management system supports the organization, business practices, and tools used to coordinate and control the Agency's asset management activities.

Employee Safety Reporting Tool: An electronic reporting tool, tailored to Sound Transit's line of business for employees to report non-emergency safety and security concerns or share a daily safety message.

Exercise: An activity designed to promote emergency preparedness; evaluate emergency operations, policies, plans, procedures, and facilities; provide personnel practical opportunities to implement emergency management and response duties; and demonstrate operational capability. Exercises may be discussion or operations based. Discussion based exercises include seminars, workshops, tabletop exercises, and games. Operations based exercises include drills, functional, and full-scale exercises.

Fatality: The condition involving a person who dies as a result of an injury incurred during railroad operations or resulting from an occupational illness if death occurs within 180 days of most recent diagnosis.

Hazard: Any real or potential condition that can cause injury, illness, or death; damage to or loss of the facilities, equipment, rolling stock or infrastructure of a public transportation system; or damage to the environment.

Hazardous Condition: A set of circumstances that if not identified and corrected has or shall result in personal injury or property damage.

Hazardous Material: Any substance that, due to its chemical, physical, or biological nature, causes safety, public health, or environmental concerns that require an elevated level of effort to manage.

Injury: Harm to a person resulting from a single event, activity, occurrence, or exposure of short duration. A NTD reportable injury requires immediate medical attention away from the scene.

International Standards Organization: A highly documented management system developed to mitigate factors that can cause harm to employees and organizations.

Investigation: The process of determining the causal and contributing factors of an accident, incident, or hazard, for the purpose of preventing recurrence and mitigating risk.

National Public Transportation Safety Plan: The plan to improve the safety of all public transportation systems that receive Federal financial assistance under [49 U.S.C. Chapter 53](#).

National Transit Database: The nation's primary source for information and statistics on the transit systems of the United States, established by Congress. The NTD reporting tool is used by FTA to collect key metrics from transit agencies.

Near Miss: An unplanned event that did not result in injury, illness, or damage – but had the potential to do so (also referred to as a “close call”). A narrowly avoided safety event in which the operator was required to take extraordinary action, such as applying the emergency brake, or persons involved had to take evasive action to prevent an event from occurring.

Public Transportation Agency Safety Plan: Documented comprehensive agency safety plan for a transit agency required by [49 CFR § 673](#).

Rail Fixed Guideway System: Any fixed guideway system that uses rail, is operated for public transportation, is within the jurisdiction of a State, and is not subject to the jurisdiction of the Federal Railroad Administration, or any such system in engineering or construction. Rail fixed guideway public transportation systems include but are not limited to rapid rail, heavy rail, light rail, monorail, trolley, inclined plane, funicular, and automated guideway.

Rail Transit Agency: Any entity that provides services on a rail fixed guideway public transportation system.

RAPID® Framework: A decision-making tool where each letter represents a key role in the decision-making process. Recommend, Agree, Perform, Input, and Decide.

Regional Transit Authority: An agency established to plan, develop, and manage public transportation systems within a specific region. These authorities are typically responsible for coordinating various modes of transit.

Risk: The composite of predicted severity and likelihood of the potential effect of a hazard. May also be referred to as Safety Risk.

Risk Likelihood: the chance that a risk will occur.

Risk Mitigation: A method or methods to eliminate or reduce the effects of hazards. May also be referred to as Safety Risk Mitigation.

Risk Severity: The extent of the damage to the agency, its people, and its goals and objectives resulting from a risk event occurring.

Safety: Freedom from harm resulting from unintentional acts or circumstances.

Safety Assurance: Processes within a transit agency's Safety Management System that functions to ensure the implementation and effectiveness of safety risk mitigation, and to ensure that the transit agency meets or exceeds its safety objectives through the collection, analysis, and assessment of information.

Safety Culture: The shared values, actions and behaviors that demonstrate commitment to safety over competing goals and demands.

Safety Event: A collision, derailment, fire, hazardous material spill, act of nature (Act of God), evacuation, or other safety occurrence not otherwise classified occurring on transit right-of-way, in a transit revenue facility, in a transit maintenance facility, or involving a transit revenue vehicle

and meeting established NTD thresholds.

Safety Management Policy: A transit agency's documented commitment to safety, which defines the transit agency's safety objectives and the accountabilities and responsibilities for the management of safety.

Safety Management System: The formal, organization-wide approach to managing safety risk and assuring the effectiveness of a transit agency's safety risk mitigation. An SMS includes systematic procedures, practices, and policies for managing hazards and safety risk.

Safety Performance Target: A quantifiable level of performance or condition, expressed as a value for the measure, related to safety management activities, to be achieved within a specified time period.

Safety Promotion: Combination of training and communication of safety information to support SMS as applied to the transit agency's public transportation system.

Safety Risk Assessment: Formal activity whereby a transit agency determines Safety Risk Management priorities by establishing the significance or value of its safety risk.

Safety Risk Management: A process within the Agency's Safety Plan for identifying hazards and analyzing, assessing, and mitigating safety risk. One component of a Safety Management System.

Security: Freedom from intentional danger or harm.

State of Good Repair: The condition in which a capital asset is able to operate at a full level of performance.

State Safety Oversight Agency: An agency established by a state that meets the requirements and performs the functions specified by [49 CFR § 674](#).

System Life Cycle: All phases of the system's life including design, research, development, test and evaluation, production, deployment (inventory), operations and support, and disposal.

System Safety: The application of management and engineering principles and techniques to optimize all aspects of safety, within the constraints of operational effectiveness, time, and cost, throughout all phases of a system life cycle.

Transit Asset Management Plan: The strategic and systematic practice of procuring, operating, inspecting, maintaining, rehabilitating, and replacing transit capital assets to manage their performance, risks, and costs of their life cycles, for the purpose of providing safe, cost effective, and reliable public transportation as required by [49 U.S.C. 5326](#) and [49 CFR § 625](#). See [Section 5.6 – Transit Asset Management](#).

Transit Worker: Any employee, contractor, or volunteer working on behalf of the transit agency.

Trespassers: Persons who are in an area where their presence is prohibited, forbidden, or unlawful.

Unsafe Condition or Act: Any condition or act that endangers life, health and/or property or decreases the degree of safety normally present.

3 SAFETY PLAN

This section incorporates ST's conformance with [49 CFR § 673](#), including establishing safety performance targets, review and update of this document, emergency management protocols and coordination with interested parties.

3.1 Regulatory updates to the Agency Safety Plan

This safety plan has been updated pursuant to the 2024 revision of [49 CFR § 673](#), with guidance from previous years and continuous improvement activities. Updates to the 2026 ASP include enhancing the description of the Safety Committee(s), and listing the Risk Reduction Program targets with other Safety Performance Targets, increasing the total performance measures from seven to fifteen. Risk Reduction Programs have been updated to include de-escalation techniques for transit workers and minimizing exposure to infectious diseases.

Per the [Washington State Rail Safety Oversight Program Standard](#), the SSO oversees the below policies and procedures:

- Scheduling inspections
- Inspection data and reports
- Immediate safety concerns
- Inspections of equipment, infrastructure, and practices specific to each rail fixed guideway public transportation system
- Safety Event verification
- Ongoing monitoring
- Defects and corrective or remedial action
- Corrective Action Plan (CAP) and safety risk mitigation verification

3.2 Safety Performance Measures and Targets

This ASP addresses all requirements and standards as set forth in the [FTA's National Public Transportation Safety Program \(NPTSP\)](#). Sound Transit will comply with any minimum safety performance standards, monitor any FTA standards that may be established through the public notice and comment process, and revise the ASP according to the process listed in [Section 3.5, Review and Update of the ASP](#).

Sound Transit is a recipient of financial assistance under 49 U.S.C. [5307](#) as it serves an urbanized area with a population exceeding 200,000. These funds are available for capital investments in new and existing transit systems. Other areas of federal assistance include [5337](#) funds for State of Good Repair - rail and bus, and [5339](#) for bus and bus facilities. Additional information may be found in the ST Financial Plan and Budget. Sound Transit works with the Metropolitan Planning Organization, the [Puget Sound Regional Council](#), and local transit partners, in setting performance targets for the region.

Safety performance monitoring focuses on routine observations and continual data collection of operations and maintenance activities. The FTA has established safety performance criteria and state of good repair (SoGR) standards that all transit agencies must meet. This ASP includes safety performance targets that meet or exceed the required safety performance criteria and SoGR standards.

Sound Transit Agency Safety Plan

Sound Transit's safety performance measures are based on the measures established under the [National Transit Database](#) (NTD). Numbers and rates are calculated using the NTD [Time Series data](#). Sound Transit safety performance targets are an accurate representation of the current state of systems, are achievable given existing constraints and priorities, and push for continuous improvement. For performance measures that have not experienced anomalous change, Sound Transit pursues progressive targets for safety.

A Safety Risk Reduction Program has been implemented to protect public and personnel safety through precautionary and reactive actions targeting the full range of safety risks across Sound Transit operations, including but not limited to assaults on transit workers and exposure to infectious disease. Safety committees review the three-year rolling average and establish performance targets for the Risk Reduction Program.

The Safety Risk Reduction Program sets the eight targets below:

- Major Event Total
- Major Event Rate
- Collision Total
- Collision Rate
- Injury Total
- Injury Rate
- Assaults on Transit Workers Total
- Assaults on Transit Workers Rate

Failure to meet the performance targets requires Sound Transit to develop and track mitigations that address the missed target and allocate specified federal funding to risk reduction projects in the following fiscal year. Safety Committees work to identify mitigations which are tracked by mode-specific technical committees and the use of corrective action logs. Previous mitigations initiated by the committees have included measures to reduce visibility impairments for bus operators, barriers to protect operators from assault, enhancements to system design, and teaching staff de-escalation techniques.

Including the metrics identified in the Risk Reduction Program above, Sound Transit has established fifteen Safety Performance Metrics for the operated modal groups. The 2026 targets are derived from an analysis of the previous three-year safety performance data based on vehicle revenue miles, in compliance with [49 CFR § 673](#). Descriptions of the Safety Performance Measures in Table 1 below are defined by the NTD and may be found in the aforementioned CFR or in the [NTD glossary](#). The rates are per 100,000 vehicle revenue miles, based off estimated miles for the year per mode.

Safety Performance Measures:

Metric	Link: 1 & 2 Line 2026 Target	Fixed Route Bus 2026 Target	Link: T Line 2026 Target
Major Events*	114	35	8
Major Event Rate*	0.81	0.33	4.12
Collisions*	26	28	7
Collision Rate*	0.18	0.27	3.63
Pedestrian Collision Rate	0.05	0.02	0.49
Vehicular Collision Rate	0.12	0.20	2.80
Fatalities	0	0	0

Metric	Link: 1 & 2 Line 2026 Target	Fixed Route Bus 2026 Target	Link: T Line 2026 Target
Fatality Rate	0.00	0.00	0.00
Transit Worker Fatality Rate	0.00	0.00	0.00
Injuries*	39	25	1
Injury Rate*	0.28	0.24	0.66
Transit Worker Injury Rate	0.04	0.02	0.16
Assaults on Transit Workers*	253	93	10
Rate of Assaults on Transit Workers*	1.78	0.87	5.20
System Reliability Rate	1.59	10.25	29.47

*Indicates RRP target set by ESC

Table 1: Safety Performance Measures

Due to the smaller scale of T Line, one or two safety events can disproportionately impact the annual target volume and rate. Percentage of reduction rates are therefore lower for T Line.

3.3 Regulatory Oversight and Acknowledgement

The State Safety Oversight Agency for Sound Transit's rail mode is the Washington State Department of Transportation ([WSDOT](#)). Sound Transit coordinates with the WSDOT State Safety Oversight (SSO) Office on SMS performance and SMS implementation on a continuous basis.

3.4 Employee Safety Committee

Sound Transit's Employee Safety Committee complies with requirements of [49 CFR § 673](#). The ESC is scaled to the scope and complexity of the agency and meets the regulatory stipulation of an equal number of frontline employees and management personnel. Members include union-representative members from T line, and volunteers from operations, maintenance, facilities, fare ambassadors, and security staff, along with some administrative personnel. Members are either selected by the union (for T line) or elected by an agency-wide vote from a volunteer pool. Additional detail can be found in the ESC Charter and committee materials. Management representatives attend the ESC; however, they are not voting members.

Training for new ESC members occurs when they join the committee as well as ad-hoc training during their tenure. Meeting agendas, documents for review and meeting minutes, including any record(s) of decision, may all be found on the ESC SharePoint page. Subject matter experts are scheduled to join some sessions to provide training, updates, or to serve in an advisory capacity to support the ESC's deliberations. All Safety Committee procedures under 49 CFR 673.19(c), including resolution of safety committee disputes, are detailed in ESC documentation, including the charter. An escalation path for operational partners' ESCs is also available.

The ESC reviews safety risks, identifies gaps and deficiencies, and recommends mitigation strategies to improve safety performance. The committee supports continuous improvement by evaluating the effectiveness of existing risk reduction programs and setting targets for safety performance. Recommendations are elevated through Sound Transit's technical committees as part of the safety risk management program. In instances where the Accountable Executive (AE)

determines a mitigation recommendation falls outside the scope of the risk reduction program and will not be implemented, a written statement of that decision must be submitted to the ESC and the Board of Directors.

In addition, the ESC reviews and approves the ASP before it is presented to the Board of Directors. More detailed information about ESC activities, including discussions and recommendations, can be found in the committee charter or meeting minutes which can be provided upon written request.

Note: In addition to the ESC, Sound Transit has a unique structure of safety committees. Some aspects of risk mitigation may be handled by technical level committees.

3.5 Review and Update of ASP

The ASP is reviewed annually by the Safety Division to certify its compliance and make necessary updates, corrections, and modifications in accordance with ST practices, WSDOT Program Standard guidance, and federal regulations. Beginning in 2026, annual reviews will be identified by the current plan year plus a rolling revision / version number, (e.g., “2026 V7.0”). This numbering convention is new starting with this cycle.

Additionally, all partner safety plans are reviewed to ensure they meet or exceed the regulatory requirements presented in Sound Transit’s plan. A [memo](#) is published to document the partner review and any findings. Sound Transit requests contracted operational partners (King County Metro, Pierce Transit, and Community Transit) to submit their updated Agency Safety Plans to Sound Transit for review in the first quarter of each year. If a partner agency’s ASP is under revision at the time of request, an estimated publication date must be provided.

The Safety Division is responsible for initiating, developing, and overseeing the revision of the ASP on behalf of Sound Transit, as well as confirming compliance with state and FTA regulations. The annual revision process includes seeking feedback from agency departments, management review, operational partners, and internal audits to determine if any changes are needed. The ASP and all safety plans are analyzed to ensure they meet accessibility standards. Updates to the ASP may be initiated due to changes to the federal regulations, the [WSDOT Program Standard](#), system expansion, major system modifications, major organizational changes and reassignment of functions, audit results, operating procedures or environment, procedures, instructions, or rules affecting safety. If required changes are identified outside of the annual ASP revision, a memo will notify SSO of the pending changes and work will immediately commence to update the plan. Any off-cycle changes that fall outside of regulatory requirements will be incorporated into the ASP in the following revision.

The Safety Division will present the revised ASP to the Employee Safety Committee for review, and then to the [Board of Directors for adoption](#) and signature by the Chief Executive Officer. The Safety Division will then update the revision table to reflect that the review and certification of compliance took place.

Following the ASP review, the Safety Division will provide the revision to WSDOT for compliance review and final approval. The ASP is submitted annually to meet requirements set forth by the current revision of the WSDOT Program Standard. WSDOT is responsible for reviewing the ASP to ensure the plan meets the Program Standard requirements. Any WSDOT recommendations to enhance or modify the ASP will be considered, and the ASP will be revised accordingly. The

Deputy Executive Director of Safety is responsible for notifying WSDOT of any changes or modifications to the ASP or any significant safety issues. A copy of the ASP is also provided to the Puget Sound Regional Council, the local metropolitan planning organization, that develops policies and makes decisions on transportation planning for the region.

3.6 Public Safety

3.6.1 Security, Law Enforcement and Fare Ambassadors

The Security and Fare Engagement division is responsible for ensuring a secure and welcoming transit experience for Sound Transit passengers, employees and contractors. The division addresses both public-facing security and the integrity of agency operations.

3.6.2 Strategic Objectives

The following four mutually re-enforcing objectives guide the division's efforts to deliver a secure transit system:

- **Minimize and Deter Crimes**
 - Protecting the life and physical safety of passengers, employees, and contractors is the division's highest priority. Violent acts of any kind are unacceptable, and security resources, both organic and contracted personnel, are deployed consistently to keep violent crimes to the lowest achievable level.
- **Promote System Safety**
 - This objective moves away from a static security posture and toward a dynamic, visible presence that addresses both actual and perceived security concerns. Security resources, including technology, budget, and staffing, are balanced continuously to reinforce public confidence in the system.
- **Respond and Manage Critical Events**
 - While security events cannot always be anticipated, the division must respond swiftly and effectively when they occur. This is accomplished by providing timely and accurate information to agency executive leadership while maintaining strong coordination with external public safety agency partners during routine operations, ensuring that established processes and protocols are consistently practiced, validated, and sustained in preparation for emergency events.
- **Protect Transit Operations**
 - Through a range of programs and procedures, the division works to prevent disruptions to normal transit operations, including vandalism, property damage, and assaults on operators, and other security events, helping ensure Sound Transit can deliver reliable and continuous service to the communities it serves.

3.7 Emergency Management

3.7.1 Emergency Management and Preparedness Program

Sound Transit fully supports an on-going Emergency Management program as required by [49 CFR § 673.11](#) and identified in [R2017-14 Adopting a Security, Law Enforcement, and Emergency Management Policy](#). The Sound Transit [Emergency Operations Plan \(EOP\)](#) has been developed to define Sound Transit's commitment to implementing and administering a comprehensive emergency management program for all operations within Sound Transit. The emergency management activities aim to achieve the highest practical level of mitigation, prevention, protection, preparedness, response, and recovery for our customers, employees, and members of the community, impacted by disruptions to Sound Transit's operations and services. Sound Transit works in coordination with impacted jurisdictions and agencies in which Sound Transit operates.

3.7.2 Objectives of the Emergency Operations Plan

The [EOP](#) is aligned with the National Response Framework, which guides how the United States responds to all types of disasters and emergencies. It also aligns with the National Incident Management System (NIMS), which provides a systematic, proactive approach for all levels of government, non-governmental organizations, and the private sector. Incident management describes the activities of an entity to identify, analyze, and correct hazards to prevent future occurrences and the escalation of hazards into emergencies. The National Incident Management System supports cross-sector coordination—regardless of cause, size, location, or complexity—to reduce loss of life and property and harm to the environment. It also supports the [National Preparedness Goal](#)'s five primary preparedness mission areas:

- **Prevention**
 - Actions taken to prevent, avoid or stop an imminent, threatened or actual act of terrorism.
- **Protection**
 - Actions taken to protect our riders, staff, the public, and our assets against the greatest threats and hazards in a manner that allows our interests, aspirations and way of life to thrive.
- **Mitigation**
 - Actions taken to reduce the loss of life and property by lessening the impact of future disasters.
 - Sound Transit also engages in continuous improvement activities to incorporate areas of improvement and to bridge gaps in emergency management.
- **Response**
 - Actions taken to respond quickly to save lives, protect property and the environment, and meet basic human needs in the aftermath of a catastrophic incident. Being able to effectively manage incidents and events after they occur is crucial to Sound Transit's ability to serve the communities within its jurisdiction.
- **Recovery**
 - Actions taken to recover quickly, through timely restoration, taking full advantage of resiliency, ensuring revitalization of infrastructure, supporting a sustainable economy, as

well as the health, social, cultural, historic and environmental fabric of our regional community after a catastrophic incident.

3.7.3 Infectious Disease Plan

Sound Transit's [Infectious Disease Plan](#) is used to identify mitigations and strategies to minimize the exposure of the public, personnel, and property to unsafe conditions. These guidelines are consistent with federal and state health authorities to minimize exposure to infectious diseases.

3.7.4 Emergency Roles and Responsibilities

Sound Transit emphasizes the importance that all levels of the organization understand their responsibilities during emergencies. A description of responsibilities for the Board of Directors, Executive Department, Passenger Experience, Transit Operations, Security and Fare Engagement, and the Safety Division, as well as Law Enforcement, Communications, Legal, Finance, Information Technology, and coordination with First Responders is documented in the [EOP](#).

Sound Transit has procedures that fulfill the responsibilities outlined in this section, highlighting which department representatives will mobilize to the incident scene, while others will mobilize to the designated command or coordination center, Sound Transit Emergency Operations Center (EOC), and/or municipal or county EOC, depending on event location and level of activation.

3.7.5 Drills and Exercises

Emergency drills and exercises are important tools for planning and preparing for emergency situations. Both drills and exercises provide an opportunity to implement inter-agency communication and coordination with local first responder agencies, partners, and other interested parties. Scenario-based exercises allow partners to further hone their skills, proficiencies, and procedures in preparation for an emergency or critical incident(s). Sound Transit meets the standard for both the FTA and FRA and also performs additional exercises annually. A schedule of these events may be provided upon request. In addition, Sound Transit provides first responder trainings, such as station familiarizations, Light Rail Vehicle (LRV) Lift trainings, Sounder and LRV familiarizations, and workshops to first responder academies.

3.7.6 After Action Reports

[After-Action Reports \(AAR\)](#) provide a detailed review and/or critical analysis of past trainings or of events. They are primarily used as a tool to provide feedback after a training exercise or event. They are developed to reassess leadership decisions, training protocols, and/or implementation of policies and procedures to identify areas of improvement for future use. The AARs are regularly reviewed and updated by the Emergency Management team. While the purpose of an AAR is to identify areas of improvement rather than findings, any items that rise to the level of findings are documented and coordinated through the Sound Transit internal [CAP process](#).

3.8 Certification of Compliance

Sound Transit will certify that this Agency Safety Plan meets the requirements of [49 CFR § 673](#), [49 CFR § 674](#), and the [WSDOT Program Standard](#). The ASP is self-certified annually through the FTA's Certification and Assurances process. In addition, Sound Transit will certify compliance with federal laws, regulations, and policies outlined in the FY2026 Certification and Assurances, as required for participation in FTA financial assistance programs.

3.9 Coordination Planning with Interested Parties

Sound Transit disseminates and makes available safety performance targets to the state regulatory authorities, [Puget Sound Regional Council](#) (PSRC) and other interested parties to aid in the planning process. ST will provide a complete copy of this ASP to PSRC and WSDOT, highlighting the proposed performance targets to aid in their planning process. All ASP revisions are shared with both organizations.

4 SAFETY MANAGEMENT POLICY

The SMS components lay the foundation of ST's safety culture, with the Safety Management Policy component serving as the key driver for organizational success. This component documents ST's commitment to safety, which defines the Agency's objectives, accountabilities, and responsibilities. This commitment and high-level policy objectives are established in the Safety Management Policy Statement, found in [Appendix C](#). The section below provides additional detail.

4.1 Safety Objectives

The [Sound Transit Board Safety Policy](#) (Resolution No. R2017-13) and the agency policy on safety management commits the agency to implementing an SMS that delivers high-quality services without adverse effects to the safety of passengers, employees, contractors, emergency responders, and the public, and that complies with applicable legal regulations, industry best practices, and other requirements. Through continuous improvement, safety culture is embedded in all activities to ensure safety is paramount.

Sound Transit's safety objectives, roles, and responsibilities are included in the Board's safety policy, as well as the agency policy. Sound Transit proactively manages safety hazards and mitigates risk in the Agency's transit operations to an acceptable level. This is accomplished through continuous examination of operational hazards, the application of lessons learned, and process improvement to the capital program to ensure system growth is influenced through operational experience. A non-punitive employee safety reporting program, staff training on SMS principles, and ongoing program development have all been established for continuous improvement of safety performance.

All levels of management and all employees are accountable to deliver the highest level of safety performance, starting with the Accountable Executive: Sound Transit's CEO. The Accountable Executive designates the Chief Safety Officer (CSO) as the SMS Executive. To support the SMS and facilitate timely and efficient decision-making, the CSO may communicate with the CEO as needed. Sound Transit integrates the management of safety among the general responsibilities of all employees, as defined in all ST job descriptions.

Additionally, the Sound Transit Employee Safety Committee plays a key role in this process. The ESC is a joint labor management committee established to identify safety risk reduction programs, set targets to improve safety performance, and review and suggest risk-based mitigations strategies.

As required by the Federal Transit Administration (FTA), ST establishes annual safety performance targets to help measure the safety of the transit system. In addition to the Agency's overall safety objective, ST continues to track and trend leading and lagging indicators to ensure the success of the transit system, identify opportunities for improvement, and actively track and address hazard identification reports.

The following objectives have been established to assist ST in achieving its safety initiatives.

- Establish safety policies, procedures, and requirements to integrate safety into ST's decision-making and operations.

- Assign responsibility related to safety policies, procedures, and requirements.
- Establish documented standards and procedures for safety training and performance.
- Investigate safety events, including injuries.
- Identify, analyze, track, and resolve hazards in a timely manner.
- Comply with federal and state safety requirements, rules, and standards.
- Continuously improve safety performance through data analysis, informed decision-making, and appropriate resource allocation.

4.2 Safety Management Policy Communication

Sound Transit's [Safety Management Policy](#) is distributed to all personnel via internal communications, is available on the Hub and in [Appendix C](#). Additionally, through a ST-designed biennial training, *Building a Culture of Safety: Understanding Sound Transit's SMS*, the Safety Management policy statement will be shared with all staff. For more information on Training and Communication, please see [Section 7.0, Safety Promotion](#).

4.3 Employee Reporting Program and Feedback

Sound Transit has developed a process for employees to report hazards, unsafe conditions, and near miss occurrences to management. Employees are encouraged to report freely and openly, including self-reporting any rule or policy violations. Self-reporting is viewed positively in any subsequent personnel action. To further support reporting, Sound Transit also provides the option to submit reports anonymously, helping protect employees against concerns about any punitive measures.

Sound Transit is aware that different concerns need different feedback. Below are the reporting methods with a description of appropriate reporting channels.

- If there is a medical emergency or an employee or another person is in danger, the employee must first call 911, then call or text the Security Operations Center at 206-398-5268. This helps prioritize and coordinate any response from first responders.
- If an employee witnesses an incident or intentional act that feels unsafe on or near ST property but isn't a life safety emergency, they should call or send a text message to the Security Operations Center (SOC) at 206-398-5268. Personnel in the SOC log these reports, respond to the employee via text message and utilize radios to dispatch on-site security to the employee's location, if necessary.
- If there are non-emergency safety and security concerns, the employee should submit concerns via the [Employee Safety Reporting Tool \(ESRT\)](#). [Reporting instructions](#) are documented within the ESRT tool. The Employee Health and Safety group routes the concern to the appropriate safety discipline. Feedback on concerns are provided directly through email to the reporting party, summarizing the status, actions taken to address the reported issue, and final resolution. All personnel, including employees who submit anonymously through the ESRT, may use the tool to search for reported concerns and view the actions taken and their status. Reports on the tool may be shared with the Employee Safety Committee and Senior Management.
- If an employee has a Sound Transit Facilities concern, they should enter an [EAMS ticket](#)

via the [ST Hub](#). Feedback is provided through the EAMS system or email, providing status information, and actions taken to address the reported issue.

Examples include:

- Maintenance of building/ST properties (e.g., maintenance requests, building heating/cooling, ST-owned transit facilities, etc.).
- Safety concerns that need a Facilities response (e.g., trip/fall hazards).
- Spills: [ESMS-304 Assessing and Responding to Spills](#)

Tacoma (T Line) staff may employ an alternative path for modal reporting due to the size of the operating model. Employees utilize a service log to address concerns through multiple avenues, which include facilities technicians, Tacoma Line supervisors and Sound Transit safety staff. T Line staff are regularly encouraged to utilize the ESRT as needed. The T Line Safety & Security Committee (TLSSC) reviews T Line employee reporting practices for monitoring and continuous improvement. These methods of reporting are all part of the hazard identification subcomponent, and the larger Safety Risk Management process documented in [Section 5.0, Safety Risk Management](#). Reported safety concerns will follow the process documented directly above.

4.4 Safety Accountabilities and Responsibilities

To achieve the ASP goals, all Sound Transit personnel need to be involved and have ownership for safety. Specifically, this involves taking into consideration any safety impacts of their decisions in their respective roles. All ST employees have general safety-related tasks under the ASP as documented in the following section.

4.4.1 Organizational Roles and Responsibilities

Chief Executive Officer (CEO)

The Board of Directors has granted Accountable Executive authority to the CEO. As the Accountable Executive, the Sound Transit CEO is responsible for reviewing and approving the ASP, and ensuring the Agency SMS is implemented, resourced, and continually improves. The CEO may delegate risk management decisions to the Chief Safety Officer; however, the CEO is ultimately responsible for accepting safety risks or hazards at ST. Specifically, the ST CEO is responsible for:

- Control and direction over human and capital resources needed to develop and maintain both the Agency Safety Plan and the [Transit Asset Management \(TAM\) Plan](#)
- Designating a Chief Safety Officer
- Ensuring that Sound Transit's SMS is effectively implemented throughout ST's public transportation system
- Ensuring action is taken to address substandard performance in ST's SMS
- Sound Transit's Safety Performance
- Carrying out ST's TAM Plan
- Implementation of the SMS and approval of the Agency Safety Plan

Chief Safety Officer (CSO)

The CSO has been designated by the Accountable Executive as the SMS Executive and maintains a direct reporting line to the Accountable Executive. The CSO is adequately trained to perform their duties and does not serve in an operational or maintenance capacity. Training requirements for this position are included in [Section 7.2, Safety Communication](#). The CSO is also responsible for:

- Day-to-day Implementation and operation of ST's SMS
- Ensuring action is taken to address substandard performance in ST's SMS
- Advising the Accountable Executive on SMS progress/status
- Communicating safety information and safety performance
- Ensuring ST policies are consistent with the ASP Goals
- Advocating for and promoting safety culture
- Review of the Agency Safety Plan

Agency Leadership

Agency Leadership is considered the Executive level staff of Sound Transit and includes the CEO, Deputy CEOs, and Chiefs and Executives of divisions. Sound Transit Agency Leadership is responsible for:

- Implementation and operation of the SMS as it applies to their respective business units
- Accountable for business unit oversight, day-to-day operations and maintaining compliance with the ASP
- Modification of policies to align with the implementation of SMS and other regulations

Key Staff

Key staff include select Internal Audit personnel, Safety and Modal Operations employees who have primary responsibility for overseeing safety, specifically Safety Division operational specialists and T Line Deputy Directors and above. Key staff members have been identified as [Public Transportation Safety Certification Training Program \(PTSCTP\)](#) participants and have been enrolled in the FTA's training program. A list of key staff can be provided upon request. Specific to T Line staff, managers and supervisors are required to complete the Rail Incident Investigations course to oversee safety events.

Responsibilities include:

- Compliance with the programs and processes identified within the ASP
- Upholding, reinforcing, and ensuring compliance with [Resolution No. R2023-23: Tacoma Link Light Rail Substance Abuse Policy](#) and [Agency Policy 1014: Alcohol and Drug Free Workplace](#).
- Supporting the development, implementation, and operation of SMS
- Maintaining documents that support the implementation of the SMS; represent the CSO in the Link Rules and Procedures Committee (LRPC)

- Maintaining individual certifications in compliance with [49 CFR § 672 PTSCTP](#)
- Reviewing and investigating employee reports and implementing corrective actions, as appropriate, in a timely manner
- Investigating employee injuries and documenting findings in the reporting system
- Investigating safety events
- Verifying ASP compliance and reporting deviations to the Safety Division

Standards of Conduct and Workplace Misconduct

Sound Transit has outlined its Standards of Conduct and Examples of Workplace Misconduct within the [Employee Handbook](#). These sections specifically describe employee behaviors that result in disciplinary actions. Each employee receives an electronic version of this handbook at the start of their tenure. A hard copy is available upon request. The handbook is updated periodically and is available via the Hub.

4.4.2 Operating Contract Relationships

Sound Transit, as an organization, requires that partnering agencies comply with [49 CFR § 655](#) in accordance with their respective intergovernmental agreements (IGAs). This requirement within each IGA signifies that all partnering agencies adhere to the regulations outlined in 49 CFR § 655, which specifically address the testing, training, and assistance programs for regulated employees involved in safety sensitive functions. Additionally, ST Express requires partnering agencies to provide Sound Transit with copies of 49 CFR § 655 reporting and notification of any external audits conducted in relation to the regulation. These measures ensure compliance and facilitate transparency as ST strives to maintain consistent safety standards and regulatory adherence across all entities engaged in the agency's transit initiatives.

Sound Transit's operating partners are contractually obligated to have a formal SRM process that identifies hazards, risks, and mitigations. Respective IGAs should be consulted for information regarding the specific requirements, obligations, and guidelines that partnering agencies need to ensure compliance.

Link Light Rail – King County Metro

Daily activities of Link light rail (1 and 2 Lines) operation, safety, and maintenance are contracted out to King County Metro (KCM), through an operating and maintenance Intergovernmental Agreement (IGA). King County Metro is required to maintain a PTASP that documents their day-to-day administration of the KCM SMS and internal processes for the agency that is supported by the IGA and Sound Transit's ASP. Sound Transit reviews KCM's ASP annually as part of the revision process. As detailed in the IGA, KCM Rail Operations and Maintenance are responsible for the safety of Link light rail (LLR) employees, including safety leadership, interpretation of policies and work rules, enforcement of rules and policies, and education of staff relative to safe work practices/procedures. KCM Rail Operations and Maintenance is directed by the KCM Division Director of Rail. The primary responsibility of the KCM Rail Division Director is the overall governance of all KCM rail operations, including development of operations and maintenance programs, and oversight of all contracted services for rail-related tasks. The KCM Division Director of Rail meets regularly with the ST Executive Director – Rail Transit Operations to discuss all aspects of the LLR system.

ST Express - King County Metro, Community Transit, Pierce Transit

The regional bus day-to-day activities for operation, safety, and maintenance are contracted to three different agencies: Pierce Transit, Community Transit, and King County Metro. In coordination with and under the oversight of the Deputy Director of Bus and Paratransit, these agencies administer individual PTASPs that apply to their regional operations and are reviewed annually by ST.

Sounder Commuter Rail – BNSF, AMTRAK, Stacy & Witbeck Inc.

Sounder commuter rail (SCR) falls outside of the scope of this plan. A complete description of the roles and responsibilities of Sound Transit, BNSF, Amtrak, and Stacy & Witbeck, Inc. are included in the current [SCR System Safety Program](#) (SSP).

4.4.3 Plan Implementation

The Agency Safety Plan defines the activities required to achieve and maintain a high level of safety across ST. It outlines a long-term approach for implementing and sustaining an SMS, including the roles and responsibilities of the Employee Safety Committee to ensure ongoing involvement. The ASP is scoped to align with FTA requirements.

Sound Transit's intranet, the Hub, includes information on various functions within the agency, including safety. The intranet is the prime method of communication to employees of updates or revisions to the Safety Management Policy. Additional training specific to staff's job responsibilities may occur upon hire, job change, or on an identified cadence. The [ST Safety Training Plan](#) identifies required trainings specific to job duties for staff across the agency. Sound Transit contractors, operating partners, and consultants are expected to maintain all necessary safety trainings, certifications, etc. per awarded contract or agreement. Additionally, the Sound Transit [SMS Implementation Plan](#) acts as a dynamic roadmap for the Agency's SMS. It specifies current SMS processes and procedures and describes the activities and actions needed to support the ASP. This ASP is reviewed annually.

4.5 SMS Documentation and Records

This ASP is controlled and managed by the Safety Division, as described in [Section 3.5 Review and Update of the ASP](#). Sound Transit maintains SMS-related documents, records, and other information as dictated by regulatory compliance of 49 CFR § 673.31 and best practice. All critical documents and records are securely maintained in the ST SharePoint for a minimum of three years. The system ensures accessibility for authorized ST employees and tracks original and revision dates for all files.

Sound Transit is required to maintain all versions of documents related to the ASP, including those related to implementation of the SMS and results from SMS processes and activities, for a minimum of three years from creation. As the Sound Transit SMS matures through the Agency, safety-related documentation that supports ASP requirements will be documented, maintained, and continuously updated to reflect the evolving SMS.

4.5.1 Access to SMS Documentation and Records

This ASP contains links to documents accessible to ST personnel to facilitate use of these processes and procedures in day-to-day work. Links herein may not be accessible to external users, but all SMS documentation and records will be made available to Federal and State entities on request.

5 SAFETY RISK MANAGEMENT

Safety Risk Management (SRM) is integrated into pre-revenue activities such as agency design requirements and criteria, design development, specification preparation, equipment selection, construction, and testing, through revenue procedures and operations. The minimum standards of safety established by State Safety Oversight (SSO) and referenced in [49 CFR § 674.25\(a\)](#) and the Washington State Rail Safety Oversight Program Standard, include governance of the above activities. The SRM process is used to identify, analyze, evaluate, and mitigate safety risk of the overall system, as required in [49 CFR § 673.25\(a\)](#) for all elements of the system.

Staff apply methods of hazard identification, assessment and resolution to minimize or eliminate hazards during design and construction, as specified in the [Agency Safety and Security Management Plan](#), [Safety and Security Certification Plan](#), and [Construction Safety and Security Manual](#).

The SRM process also applies to operational, maintenance, and other employee safety activities, changes to the existing ST system, modifications, new extensions, new procedures, and organizational changes; these are tracked through the Configuration Review Board and the Link Rules & Procedures Committee (LRPC). The methodology for Employee Health and Safety management is documented in the [Accident Prevention Program \(APP\)](#), as referenced in [Section 5.4 Accident Prevention Program](#). Hazards are assessed and evaluated primarily by the Safety Division with a focus on collaborating with the affected department(s). Employee and technical safety committees, composed of front-line employees and management, contribute by identifying, recommending, and analyzing the effectiveness of risk-based mitigations or strategies to reduce consequences identified in ST's safety risk assessment. [Safety technical level committee decision making \(SOP-SFT01\)](#) is standardized with the RAPID® decision-making process for all impactful decisions.

This process directly interacts with the Safety Assurance process, as documented in [Section 6.0, Safety Assurance](#), so that risk mitigations are evaluated for effectiveness over time. Feedback between the two processes is essential to ensure that risk mitigation does not introduce additional hazards into the system.

5.1 Hazard Identification

Hazard identification is initiated during the planning phase of a project and continues into operations. Ongoing operational hazard monitoring and measurement of these identified hazards happens during the safety assurance process.

5.1.1 Pre-Revenue Hazard Identification

Generally, hazard identification is performed in two phases: pre-revenue and transition to and through revenue service. In addition, the Safety Division identifies hazards through specific and scheduled inspections and observations, dependent on safety discipline. Pre-revenue Hazard Identification

Hazards identified during the pre-revenue stage can be derived from:

- Preliminary Hazard Analysis (PHA) workshops

- Operational Hazard Analysis (OHA) workshops and report
- Safety Event investigations
- Consultation with Authorities Having Jurisdiction
- Value Engineering workshops and reports
- ST and Consultant design team experience
- Historical data from previous projects
- Sound Transit accident/incident data and experience
- Accident/incident data from other rail systems, or other systems with similar characteristics
- Applicable industry standards
- Design data and drawings
- Hazard Analysis Guidelines for Transit Projects, DOT-FTA-MA- 26-5005-00-01, January 2000
- Construction safety assessments, inspections, and surveillances
- Capital Project Safety & Security Certification Process Audits (SSC)
- Other Hazard Analyses as required

5.1.2 Transition to and Through Revenue Service

Defining the physical and functional characteristics of a project creates the foundation of the hazard identification process. These characteristics are presented in terms of the major factors that comprise the project, such as personnel, facilities, systems, equipment procedures, the public, and the environment. The hazards are identified using several methods, including the following examples:

- Employee Safety Reports
- Inspections, audits, and surveillance
- Historical hazard or accident data
- Operational experience
- New Procurements
- System Modification
- Safety Data Trend Analysis
- Changes to Critical Items List
- Emergency Drill/Exercises
- Data provided by FTA
- Data provided by WSDOT
- Special Studies or Investigations

The rating of hazards is limited to those hazards that directly or indirectly affect the safety and security of the public, passengers, employees, rolling stock, and facilities. Sound Transit performs reactive hazard identification through analysis of events, including investigations of adverse incidents, reporting from employees and contractors via the ESRT or Safety Committee meetings, etc. From a proactive view, ST systematically identifies all reasonably foreseeable hazards, their functions, and interfaces using wide-ranging expertise from a competent team. These may result from audits and inspections, change management, and failure trends. Hazards and mitigations identified during pre-revenue through Preliminary Hazard Analyses (PHA), Threat and Vulnerability Assessments (TVA), Operational Hazard Analyses (OHA), and other safety and security assessments are managed through the Agency's Safety and Security Certification process in accordance with the SSMP and SSCP. Safety and security certification

remains active until required certification activities are completed and any remaining open certification items are managed in accordance with the approved Safety and Security Certification Verification Report (SSCVR).

Operational hazards identified through the OHA that remain open at the start of revenue service are transitioned to the Agency Hazard Log to ensure continued operational oversight, monitoring, mitigation tracking, and risk acceptance until permanent resolution. These operational hazards become part of the Agency's Safety Assurance processes, including ongoing monitoring, trend analysis, and verification of mitigation effectiveness.

5.2 Safety Risk Assessment

Hazards are analyzed by identifying the likely severity of consequence and likelihood of occurrence. The hazard analysis process continues until it can be shown that each hazard has been controlled to an acceptable level.

The hazard categorizations are determined through standardized analysis performed by ST, consultant, and/or contractor staff. The analyses used as inputs depend on the hazard investigated but may incorporate a preliminary hazard analysis (PHA), Fault Tree Analysis, and/or Failure Mode and Effect Criticality Analysis, among other standardized methods of evaluation.

Following identification of a hazard, an assessment is performed to determine the risk rating. Risk severity and probability are used to measure the hazard's magnitude and the priority for applying control measures. The [Safety Risk Matrix](#) is used to assess the level of risk for each identified hazard and to determine what, if any, action(s) must be taken to correct or lower the risk to an acceptable level. Each Hazard Category in the Safety Risk Assessment Matrix requires a specific level of action, see [Section 5.3 Risk Mitigation](#). The decision authority for each Hazard Category is also shown. Sound Transit also maintains a risk matrix pertaining to security-related risk.

Risk resolution requirements shown in the Safety Risk Matrix are used to prioritize hazardous conditions and focus available resources on the most serious hazards requiring resolution while effectively managing available resources. No level of agency management is authorized to accept a risk that is in violation of law, code, or regulation.

While Sound Transit's operating partners may utilize their own risk matrices to fulfill a range of their business objectives, they are required to translate their risk ratings to align with the ST Risk Matrix for all activities related to Sound Transit. This is accomplished through technical committee meetings or risk assessment working groups.

5.3 Risk Mitigation

The risk mitigation or hazard resolution and control process involves the analysis and corrective action(s) necessary to reduce the risk associated with an identified hazard to the lowest practical level. Using the assessment and evaluation results, risks are mitigated to an acceptable level by one or more of the below described methods:

Design for Elimination or Minimum Risk: Where possible, hazards will be eliminated through

design. In many cases, hazards are inherent and cannot be eliminated through design. In other cases, eliminating the hazard through design is not practical or financially feasible. If the hazard cannot be eliminated, risk mitigations will be implemented to reduce the impact by bringing the risk to an acceptable level through the incorporation of the principles of system safety, using fail-safe devices and principles in design, incorporating high-reliability system components, and using redundant or backup hardware and software devices.

Utilize Safety Devices: Hazards that cannot be eliminated or controlled through design selection shall be controlled to an acceptable level using fixed, automatic, or other protective safety design features or devices. These are permanent system design features that improve safety by automatically controlling the risk of a hazard without human interaction. Provisions shall be made for periodic functional checks of safety devices.

Install Warning Devices: When either design or safety devices cannot effectively eliminate or control an identified hazard, warning devices can be used to detect the hazardous condition and to generate an adequate warning signal to correct the hazard or provide for personnel evacuation. Warning devices should be standardized to minimize the probability of incorrect reaction of personnel to these warning signals.

Develop Procedures and Instruction: When it is impossible or impractical to eliminate hazards through design selection or to adequately reduce its associated risks through safety or warning devices, then approved procedures and training programs must be used. However, this is the lowest level of control and relies on training to recognize the hazard and personnel actions to avoid the hazard. Procedures may include the use of personal protective equipment. Precautionary notations and warning signs must be standardized.

Hazard resolution often requires a combination of methods of control. The use of warning, caution, and other forms of written advisories alone to control undesirable risks will be carefully reviewed to ensure that no other additional measures are possible. Hazards classified as “unacceptable” are not permissible. Additionally, no level of agency management is authorized to accept a risk that is in violation of a law, code, or regulation.

Safety Risk Assessment is detailed in the ST Hazard Management Manual. Assessing risks within ST enables personnel to effectively manage risk and make reasonable decisions. Risk communication is addressed through committees and escalated as required to executive level staff through all steps of the risk management process.

5.4 Accident Prevention Program

The Accident Prevention Program (APP) is the agency’s written plan describing its total health and safety program to prevent accidents, illnesses, and injuries on the job, as required by [WAC 296-800-140](#). The program is tailored to the needs of Sound Transit’s workplace/operation and to the types of hazards involved.

This program covers the Employee Health and Safety Group responsibilities and describes agency and general procedures concerning employee health and safety. The APP is available to all employees and is initially introduced to new employees during their Employee Safety Orientation.

5.5 Roadway Worker Protection

Sound Transit proactively seeks to reduce or eliminate hazards before they occur. Protecting workers on and around railroad tracks is an integral element in ST's comprehensive safety program. Following [49 CFR § 671](#), Sound Transit has strengthened the Roadway Worker Protection program and is committed to implementing and maintaining comprehensive roadway worker protection measures. This includes clear operating rules, rigorous training, effective oversight, and continuous coordination between all personnel working on or near the right-of-way.

By aligning with federal regulations and industry best practices, ST ensures that roadway workers' safety remains at the forefront of its operations, protecting both workers and communities we serve. Roadway Worker Protection Manuals exist for [Link lines 1 and 2](#) and for the [T Line](#).

5.6 Transit Asset Management

Effective asset management is an important way Sound Transit can continue to provide world-class service as new assets come into service and existing assets age. Sound Transit's asset management program originally conformed with the [Moving Ahead for Progress in the 21st Century Act \(MAP-21\)](#) and in the coming years will mature to conform with international asset management practices, including ISO 55000 series of asset management standards.

Sound Transit recognizes that transit asset management has value far beyond simple compliance. It represents an opportunity for ST to innovate, train and grow its employees, reach quantifiable efficiencies in its operations and business processes, and become a best-in-class transit provider for its customers. The guiding principles for the program are articulated in Sound Transit's Asset Management Policy. Further information on the Transit Asset Management program is in the Transit Asset Management (TAM) Plan, pending an update in Q4 of 2026.

The State of Good Repair (SoGR) standards are defined by the National Safety program and National Transit Asset Management (TAM) System, found in [49 CFR § 625](#). These identify the conditions that determine when a safety risk analysis must be performed on capital assets such as equipment, rolling stock, infrastructure, and facilities. Sound Transit documents safety performance objectives in the [TAM plan](#) based on this definition and makes informed investments to strive for a SoGR for all assets.

Nexus Between TAM and SMS

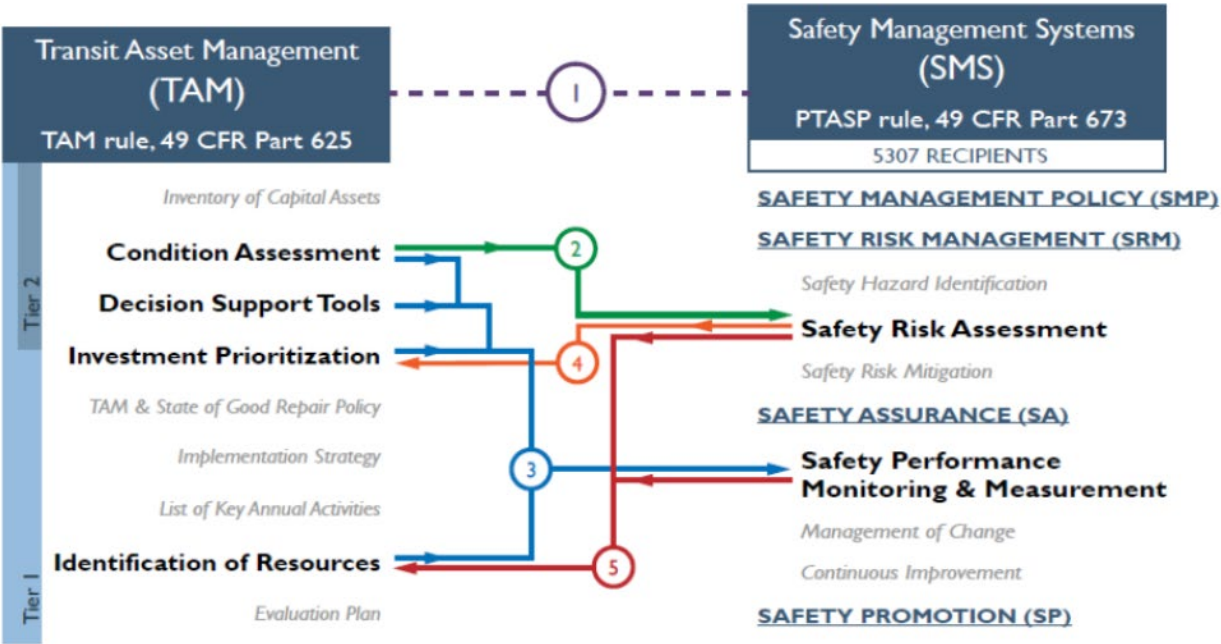


Figure 3: Nexus between TAM and SMS

6 SAFETY ASSURANCE

Safety assurance ensures that ST implements appropriate and effective mitigations and monitors the safety performance of Sound Transit. Safety assurance also assesses how changes may impact the safety of the system.

Safety assurance includes three subcomponents:

- Safety Performance monitoring and measurement
- Management of change
- Continuous Improvement

Sound Transit complies with the State Safety Oversight Risk Based Inspection program for additional monitoring of safety performance as outlined in FTA Special Directive 22-51. Raw data will be provided to SSO via their data sharing process. Sound Transit will also work in conjunction with SSO to assist in prioritizing relevant safety concerns identified through shared safety data and for continuous improvement of the safety program. Specifics on the data set points and the frequency of submission to SSO will be captured in a document that is in development by State Safety Oversight.

Sound Transit [SOP 1.20: Link Risk Based Inspections](#) and [TLR-SOP-10022 Risk-Based Inspection Program \(State Safety Oversight\)](#), provide further information regarding the ability of the SSO to access each fixed rail guideway public transportation system for risk-based inspections, both announced and unannounced, as well as the varying degrees of access required for each specific inspection.

6.1 Safety Performance Monitoring and Measurement

Proactive revision schedules, encompassing the development, enforcement, and management of safety policies, and procedures, ensure the safe operation and maintenance of equipment. As outlined in 49 CFR 673.27(b), these schedules monitor risk mitigation efficacy, investigate causal factors, and review internal safety reports. Committee governance structures handle much of this ongoing evaluation. The risk reduction program, initiated in 2023, works to improve safety by reducing the number and rates of safety events, transit worker assaults and overall system safety. These metrics continue to be monitored and reviewed based on targets set by the Employee Safety Committee. Refer to [Table 1](#) in this ASP for more information.

6.1.1 Rule Compliance and Procedure Review

Link Light Rail – 1 and 2 Lines

Link light rail rules compliance and procedure reviews are conducted by KCM and governed in the KCM ASP Section 7.2. KCM maintains [SOP 1.8 Ride Check-Performance Evaluation](#) and records of operator ride checks through their learning management systems; results are available upon request.

The development, issuance, and revision of Link light rail rules and procedures are governed by [Standard Operating Procedure 1.1](#). The Link Rules and Procedures Committee (LRPC) meets monthly to prioritize and review any additions, changes, or deletions.

Sound Transit Operations maintains the Link Oversight Program Plan which governs quality oversight of contracted maintenance activities including quality control, material control, personal safety, equipment operations, best maintenance practices, training, and configuration management. It also encompasses Track, Power and Signals, SCADA, Vehicle Maintenance, Non-revenue Vehicles, Operations, and the Maintenance Service Center.

Link – T Line

Tacoma's T Line rail compliance and procedure review is conducted by the Deputy Executive Operations Director – T Line, or delegate, who shall ensure safety requirements are established, reviewed on a regular basis, and updated, as necessary. Rules are reviewed annually for adequacy. The Executive Operations Director, or delegate, shall also oversee all safety testing for rules and procedures using pass/fail criteria. Only personnel who are certified (successfully pass rule and procedure tests) shall be allowed to operate equipment. The Executive Operations Director (or delegate) is responsible for establishing training/retraining standards and reviews these standards as needed or on a biennial basis. The T Line Safety and Security Committee (TLSSC) led and operated by the Safety Division, evaluates implications of existing WSDOT oversight rules, under which ST is governed, and any potential modifications to these requirements.

Sound Transit Express Regional Bus

Sound Transit Express bus service utilizes monthly regional data sessions and quarterly meetings with STX contractors to discuss and address any open issues around safety performance. Contractors ensure compliance with procedures for operations and maintenance within their respective ASP.

- Community Transit ASP (Section 16.2)
- Pierce Transit ASP (Section 4.1)
- KCM ASP (Section 3.1.3)

6.1.2 Safety Data

The Safety Division analyzes data to assist in maintaining a safe work environment for all employees and a safe system for all of the Agency's customers. Analysis of data may result in recommendations for corrective actions. The [Safety Data Handling Manual](#) details how Safety collects and analyzes data. The principal approach used in achieving ASP goals is through consultation and participation of all ST personnel to ensure they understand how safety impacts the work they perform. Sound Transit uses a proactive approach that stresses review of systems and the proposal of modifications to these systems from a safety perspective before events occur. The ASP also requires that employees examine the effects that their actions may have on the safety of other interrelated systems, described in more detail in [Section 6.2 Management of Change](#).

All personnel are responsible for ensuring that safety-related tasks meet and comply with the guidance in the ASP. All ST staff are responsible for working safely and following established rules, procedures, policies, and safe-work practices. The intent of this section is to provide a description of ASP responsibilities that will assist ST's efforts in achieving optimal safety. The Safety Data Program provides a general overview of the various processes and procedures

involving data. Specific procedures and responsibilities are listed in procedure manuals, rulebooks, plans, program manuals, policies, and other controlling documents. Additionally, a safety data governance committee has been established.

6.1.3 Safety Risk Management Databases

Safety and Security Information Management System (SSIMS)

A Safety and Security certification database updates and tracks project Certifiable Items and provides users with continual interface and capabilities to report the certification status for the design, construction, testing, and pre-revenue phases of the project. This database is called the Safety and Security Information Management System. Project documentation is assembled and maintained in the project Safety and Security Certification records on [SSIMS SharePoint](#). Contents of the records include the following:

- Certifiable Items List (CIL)
- SSIMS Forms for each Certifiable Item
- Support documentation
- Scanned Certificates of Conformance for all certifiable elements; and
- Scanned System Certificate(s) of Conformance for the project.

Safety Metrics

Both the Employee Health & Safety and Transportation Safety & Security teams capture and analyze operational safety data in the Safety Metrics application. The Safety Metrics application is comprised of several modules that track safety data such as safety events, audit findings and observations, Corrective Action Plans (CAPs), hazards, etc. Event data for all modes of transit are captured in the Safety Metrics application.

Data for T Line is entered directly into the Safety Metrics application and reviewed for accuracy. KCM's database Safety and Security Management is the database of record for all events related to Link light rail. Event data is transmitted to Safety Metrics each Monday with data from the previous week and undergoes quality review. Pierce and Community Transit data is posted daily to ST's Transit Operations SharePoint site and is imported into Safety Metrics where it undergoes quality control.

6.1.4 Security Database

Passenger and pedestrian reported security issues are logged in to the Security team's Computer Aided Dispatch (CAD) system. The CAD system, Omnigo, tracks all incidents responded to by security personnel. Call data includes the time and date of the initial call, the type of incident (i.e., theft, assault, disorderly conduct), the location of the incident, and any notes associated with the incident.

6.1.5 Transit Safety Inspections and Assessments

On a regular basis, key staff conduct safety and security inspections at ST-owned stations. Safety staff work with ST Facilities to create work orders to correct and / or repair identified issues. [Transportation Safety & Security procedure TSS-SOP-TSS18](#) details the process for

inspections and identifies triggers for [Safety and Security Assessments](#). Assessments are performed to proactively apply an all-hazards approach to assess safety hazards or security vulnerabilities within the operating system before they result in harm or damage.

6.1.6 Transit Safety Event Investigations

WSDOT has the primary responsibility for rail safety event investigations and has delegated these responsibilities to Sound Transit. The Director – Safety Investigations is the primary contact for WSDOT and is responsible for submitting rail safety event investigative reports to the SSO. Investigation procedures for safety events are controlled by individual modes. The FTA has published [guidelines](#) for performing safety event investigations.

Link Light Rail – 1 and 2 Lines

The Link Control Center manages rail-related safety events, while the Security Operations Center (SOC) handles reportable facility events. Regulatory investigations are conducted by Sound Transit's operating partner, King County Metro (KCM) or the Sound Transit Safety Investigations team, per the intergovernmental agreement. Sound Transit reviews and approves the KCM investigation procedures on the Link light rail service:

- [SOP-SI01-Safety Event Investigation Process](#)
- [SOP 4.1 Documenting Rail Accidents and Incidents](#)
- [SOP 4.2 On-Scene Rail Accident Investigation](#)

Training criteria and records for those conducting investigations are established and ensured by KCM. Sound Transit safety personnel assigned to review investigation reports are required to obtain and complete their PTSCTP curriculum.

Link Light Rail – T Line

T Line safety events are reported to the T Line Control Center, where the controller logs information regarding the safety event in the service log and if needed, the event log for data tracking. The field supervisor coordinates the scene of the safety event and compiles all evidence required for safety event investigation. All T Line staff adhere to the following procedure while gathering information to be forwarded to the Safety Investigations team:

- [TLR-SOP-60007 Accident Investigation](#)

Other T Line Emergency Operating Situation procedures may also apply.

Field Supervisors assigned to investigate T Line incidents are required to complete established training criteria and maintain associated records. This includes participation in an orientation and procedural review conducted by the T Line Transportation Manager. Managers and field supervisors are required by Sound Transit to attend the TSI Rail Incident Investigation course at the earliest opportunity available.

Sound Transit Express

Investigations into ST Express safety events are handled by the operating partner. Reporting information from KCM, Pierce Transit, and Community Transit is shared with ST safety staff for submittal to NTD if thresholds for reporting are met.

6.1.7 Regulatory Investigations

Should FTA or WSDOT conduct an independent investigation on Link light rail - 1, 2 or T Line, ST will review the report and provide a response within 30 days with proposed corrections or adoption. Once the investigation has been closed, ST will add any corrective actions to the Corrective Action Plan log, per [Section 6.1.11 – Corrective Action Plans](#). The Washington State Department of Transportation holds the ultimate responsibility for the sufficiency and thoroughness of all safety event investigations, whether conducted by Sound Transit or WSDOT.

6.1.8 SSO Safety Event Reports and Documentation

The WSDOT Rail Safety Oversight program defines reportable, or notifiable, events in “Chapter 6: Accident Notification” of the WSDOT Program Standard. Sound Transit will refer to the most current version of this document to ensure compliance with all reportable and notifiable activities.

Safety event reports, written investigation reports, and findings, using the appropriate WSDOT form or supplying comparable information, are due to WSDOT within 45 calendar-days of ST Safety staff being made aware of a reportable safety event, when the event meets the requirement as set forth by the FTA. Safety Event reportable only to the SSO including, unacceptable hazardous condition must be accompanied by a 48-Hour Report to the SSO within 48-hours of ST Safety staff being made aware of a reportable safety event. These reports must identify the causal factors contributing to the occurrence in the form of a [Root Cause Analysis \(SOP-SI05\)](#) and, if appropriate, recommend corrective actions.

All accident reports are submitted to the Director - Safety Investigations or designee, for review and approval. The Director or designee shall then submit the report to WSDOT for review and approval, according to the [WSDOT Program Standard](#). Incidents that do not meet the WSDOT threshold for reportable safety events are tracked using the internal reporting as described in the [Safety Data Handling Manual \(MNL-TSS02\)](#).

6.1.9 SSO Annual Reporting

The Transportation Safety & Security Director is responsible for preparing an annual summary report covering all reportable safety events over the previous calendar year. The annual summary report shall be submitted using the WSDOT Safety and Security Program Annual Report form. The Director or a designee shall submit the WSDOT Safety and Security Program Annual Report to WSDOT as prescribed in the [WSDOT Program Standard](#).

6.1.10 External Reporting Notifications and Thresholds

The Transportation Safety & Security group maintains work instructions and standard operating procedures on how to report to WSDOT, the FTA's National Transit Database (NTD), and other regulatory bodies. Guidelines for all notification requirements have been created for the Transportation Safety & Security staff using the current version of the [WSDOT Program Standard](#).

6.1.11 Corrective Action Plans

Corrective Action Plans (CAPs) are an integral part of the Safety Risk Management (SRM) and Safety Assurance processes. A CAP is developed with the intent of addressing identified hazards, safety deficiencies or findings utilizing [SOP-TSS09-CAP-Process](#). Each CAP identifies the action to be taken by ST or partner agency, an implementation schedule, and the individual or department responsible for the implementation. Sound Transit Safety staff submit the CAP to WSDOT for approval within 45 calendar days after the need for the CAP has been identified by either ST or WSDOT. Depending on the complexity of the issue requiring corrective action, and at WSDOT's discretion, additional time may be granted to ST to prepare the CAP.

The CAP must be submitted to WSDOT for review and approval. Sound Transit Safety staff develop and maintain a CAP log, which identifies all CAPs approved by WSDOT and tracks their status. This log is shared monthly with WSDOT. As CAPs are closed out, Sound Transit staff shall verify that corrective actions are implemented as described in the CAP, or that a proposed alternative action has been implemented.

If an emergency or immediate corrective action is necessary to ensure safety, ST will act according to the SRM process and implement a mitigation prior to receiving formal approval from WSDOT. Proper notification and ongoing communication between ST and WSDOT ensure coordinated and timely mitigations and provide for subsequent review and approval.

6.2 Management of Change

Management of Change is a systematic approach to preventing or mitigating risk to the agency, including degradation of safety, health, or environment due to alterations made to how one may construct, operate, manage, or repair systems or processes. Sound Transit's safety risk assessment process is a critical component of SMS and helps the agency identify and understand how changes to our systems affect safety.

6.2.1 Configuration Management and System Modification

System modifications are carefully evaluated and considered from concept to design and implementation to determine how the change might affect the safety of the system. Sound Transit evaluates the proposed modification for its potential to create additional hazards or reduce the effectiveness of existing hazard controls. Sound Transit coordinates the integration of new equipment, system expansion, modification, and system rehabilitation from the design and procurement effort through construction, inspection, testing, and start-up. For operations, configuration management occurs at the [Configuration Review Board](#), and for system expansion (capital) it occurs at the [Executive Review Committee](#). Deviations to Agency design standards require a Request for Deviation which is managed by the Program Management [Engineering](#)

[Oversight](#) team.

6.2.2 Safety and Security Management and Certification Process

The Safety and Security Certification program verifies that safety and security related requirements are incorporated into rail transit projects. The goal is to verify that safety and security standards and requirements are met or exceeded throughout the projects' phases and confirms that safety and security certification activities have been completed prior to revenue service in accordance with the Agency SSMP and SSCP. Sound Transit self-certifies rail transit projects, subject to the safety oversight of WSDOT. The Sound Transit Project Director and Safety and Security Certification Manager coordinate with Transportation Safety and Security staff to ensure compliance with the [Agency Safety and Security Certification Plan](#) (SSCP), and project-specific SSMPs and SSCPs. The Chief Safety Officer provides executive oversight of the Agency SSMP and SSCP. Risk acceptance and certification approvals are conducted in accordance with the governance and approval authorities established within those plans.

Safety and Security Management Plan Purpose

The [Agency Safety and Security Management Plan](#) (SSMP) establishes the framework for integrating safety and security throughout the capital project lifecycle, including hazard management, threat and vulnerability assessments, safety and security certification, and verification activities.

Safety and Security Certification Plan Purpose

The [Agency Safety and Security Certification Plan](#) (SSCP) establishes the certification process used to verify that applicable safety and security requirements have been satisfied prior to placing projects into revenue service.

6.3 Procurement

Sound Transit ensures that the procurement of materials and services does not degrade the safety of the transit system. This involves including safety and health requirements in contracts and maintaining current copies of Safety Data Sheets (SDS) for each product. The [Chemical Hazard Communication Program](#) has established specific procedures for the acquisition and dissemination of information regarding hazardous chemicals, such as SDS and labels. Information on current inventory of chemical usage and associated SDS can be accessed via the [Chemical Approval SDS](#) system on the Hub. Chemical products and materials are evaluated by the Safety Division and Environmental Compliance prior to purchase and use. More information on chemical safety and the approval process can be found in the Chemical Hazard Communication Program.

Generally, specifications are in written descriptions, performance requirements, drawings, prints, commercial industry standards, and other descriptive literature references. All items to be procured are evaluated for Health and Safety compliance with current applicable regulatory specifications. Requestors of services from Procurements and Contracts Department are responsible for identifying material or services that have potential safety impact; and for ensuring that such material or services meet safety requirements of Federal and State compliance regulations, Washington Industrial Safety and Health Act (WISHA) standards or identifying the requirements for Safety review. Capital Project Delivery, in partnership with the

Program Management Office, proactively controls the safety requirements of the services procured on ST capital projects and other operational contracts. Capital Project Delivery and Procurement and Contracts maintain procedures and specifications for the health and safety of our employees and customers.

6.4 Continuous Improvement

Through continuous improvement, ST systematically evaluates its safety performance to identify vulnerabilities, deploying targeted corrective actions and risk mitigations to enhance overall safety. It consists of formal and informal activities designed to evaluate the effectiveness of the SMS. This process includes, but is not limited to, Internal Safety Audit and Management Review.

The Safety Division reviews all audit findings, identified gaps and opportunities for improvement, management review feedback, and requirements for review established by State Safety Oversight (SSO) to update and maintain critical SMS processes. The [SMS Implementation Plan](#) is updated to reflect the current improvement activities that have been identified.

Annual internal safety audits are conducted by the Audit Division to ensure compliance is maintained, and objectives are met. The Audit Division is independent of operations, maintenance, and safety processes. The Deputy Executive Director – [Internal Audit](#) maintains the Agency Audit Plan with 3-year audit schedules.

Internal safety audit policies and procedures are maintained and updated by the Audit Division and are available upon request. Results of the annual internal safety audit activities are documented in a report and submitted to WSDOT annually by February 15th as required by the WSDOT Program Standard.

Final audit reports summarize the results of the internal safety audit, and any deficiencies or instances of noncompliance are recorded. These findings are shared with the responsible party who then develops a corrective action plan, as described in [Section 6.1.11, Corrective Action Plans](#).

6.4.1 Management Review of Safety Performance

Management Review is conducted annually by the CSO and the CEO. This review includes internal safety audit reports, safety performance reports (including year-over-year NTD numbers), corrective action plan summaries, ASP changes, and other SMS reports. When deficiencies in the Safety Management System and/or safety programs are identified, corrective action plans are initiated to resolve and mitigate those deficiencies under the direction of the CEO and submitted to WSDOT.

7 SAFETY PROMOTION

Safety promotion provides increased safety awareness through safety training and communications. This process helps employees develop the skills needed to perform their job safely and have shared ownership of Sound Transit's safety program. Management commitment is demonstrated through visibility of safety programs throughout ST.

Safety promotion has two sub-components:

- Safety Competencies and Training
- Safety Communication

7.1 Competencies and Training

Employees

Sound Transit utilizes a Learning Management System called ST University to provide and track training, and employees are required to complete initial new employee safety training within 30 days of their start date as part of their New Employee Training curriculum. During the onboarding process, additional safety training is assigned automatically, and managers are responsible for assigning other safety training required for employees based upon their role and potential exposures from their work-related tasks. New Sound Transit employees are required to complete *Building a Culture of Safety: Understanding Sound Transit's SMS* as part of New Employee Training.

The Sound Transit SMS course is available on demand and assigned biennially to all employees. Additional training includes de-escalation tactics, particularly for those in a public-facing capacity, how to utilize the Sound Transit [Employee Safety Reporting Tool](#) to report identified safety concerns, and other job-specific training. Employees are responsible for completing all required training and communicating their training needs, deficiencies in training programs, and hazards associated with their training.

Contractors

ST contractors, consultants, and operating partners are responsible for their own safety training programs and certifications per their company policies and guidelines. Contractors are required to report any hazards identified during their job duties to the appropriate Sound Transit program/project manager. Sound Transit works with contracted partners to confirm appropriate training and may request training records for front-line employees. Sound Transit can verify safety training through internal safety audits and contractor oversight activities, as needed.

7.1.1 Public Transportation Safety Certification Training Program

Sound Transit has designated key staff with direct safety oversight to complete the Public Transportation Safety Certification Training Program (PTSCTP) as defined in [49 CFR § 672](#). Designated staff must complete all required PTSCTP courses within three years. Every two years after initial certification designated staff must recertify by completing Sound Transit's SMS course *Building a Culture of Safety: Understanding Sound Transit's SMS* and specific recertification training defined by FTA.

7.1.2 Chief Safety Officer Training

The CSO is expected to complete the suite of courses offered by the Transportation Safety Institute (TSI) that comply with the requirements codified in [49 CFR § 672: Public Transportation Safety Certification Training Program](#). Additionally, the CSO is expected to have both the Rail and Bus Transit Safety and Security Program certificates.

7.2 Safety Communication

7.2.1 CSO Executive Briefings

The CSO and the CEO communicate in a variety of ways. Direct communication outside of these structured methods is conducted on an as-needed basis:

- CSO's participation in Enterprise Governance functions as established by Program Management Office and other departments.
- CSO briefing CEO, as accountable executive, for certain hazards, per governing documents
- CSO annual report to the ST Board of Directors on safety performance

7.2.2 Hazard Information and Event-based Communication

Key incidents and hazard information are shared during safety briefings throughout the organization, as well as to and from our operating partners and through Employee and technical Safety Committee meetings. Feedback and other hazard information is conveyed via reports to senior management and through accepted internal communication methodologies, including Everbridge and the Security Operations Call-Tree.

7.2.3 Internal Communication

Safety staff coordinate with the Communications Division to share important safety updates with staff. The Communications Division manages the strategic plan and editorial calendar for 'official' employee-facing communications including a set of owned channels, norms for cadence, brand standards, and content mix. The team consults with program managers to determine specific needs for employee-facing information delivery and recommends the appropriate channel mix and creative development strategy based on the topic and impact to staff.

Owned channels include stories within the employee newsletter (News Link), Hub intranet content, All-hands meetings, Management-focused meetings, and multi-channel campaigns. In the bi-weekly Newslink, there are articles focused on current / relevant safety topics as well as safety updates and announcements.

The Communications Division does not control all available channels, and at times will recommend the use of "do-it-yourself" tactics such as posters, Division meetings, targeted email, Lunch & Learns or other training events, administrative team news planning or other venues as appropriate.

7.3 Safety Culture Assessment

Sound Transit has set agency-wide goals to establish a robust and proactive safety culture. These strategic priorities emphasize both physical and psychological safety as well as an equitable values-driven agency. Additionally, the safety division has goals that aim to increase employee engagement with safety content through daily messages and Newslink safety articles. This includes encouraging Sound Transit personnel to share how they utilize safety in their role, thus promoting safety culture across the agency.

Sound Transit regularly conducts an Employee Engagement Survey to assess the health of its workplace culture across the agency. Because safety is an integral part of agency culture, ST will use the survey to evaluate selected aspects of SMS integration. Responses are collected confidentially, and aggregated results for each criterion are shared agencywide.

APPENDICES

Appendix A: System Descriptions

Information on Sound Transit's expansion and extension projects, including [system maps](#), project maps, summaries, timelines, milestones, and related documents, can be found on the [Sound Transit](#) website.

Link Light Rail – 1 and 2 Lines

History

In 1993, the Central Puget Sound Regional Transit Authority was created. The Central Puget Sound Regional Transit Authority Board of Directors considered the Joint Regional Policy Committee's System Plan too large, so it focused on reducing its scope while trying to retain most of its benefits. The agency proposed implementing the first phase of a new regional rail and express bus network over 16 years, with an estimated cost of \$6.7 billion (1995\$). The light rail portion of the proposal included a rail system stretching south from Lynnwood to Tacoma via Northgate, the University District, downtown Seattle, Rainier Valley, SeaTac - plus an east-west line across I-90 to Mercer Island, Bellevue, and Redmond/Overlake. This proposal again proved to be too large, and, in 1996, the Central Puget Sound Regional Transit Authority developed a new ten-year regional transit system plan known as Sound Move. Sound Move specified several transportation solutions for the region, including a shorter light rail line from the University District to SeaTac, and was approved by voters in November 1996.

Initial Segment (1 Line): 13.9 Miles

Link Light Rail began revenue service on the Initial Segment in July 2009. The Initial Segment consists of 13.9 miles of double-tracked line between the north end of the Downtown Seattle Transit Tunnel (Pine Street Stub tunnel ventilation structure) and the intersection of South 154th Street and State Route 518, connecting the cities of Seattle, Tukwila, and SeaTac. The Initial Segment alignment consisted of approximately 4.5 miles of aerial structures, 2.4 miles of tunnels, and 7.0 miles of at-grade right-of-way. The Initial Segment included 12 stations: Westlake, University Street (to be renamed Symphony Station in late 2024-early 2025), Pioneer Square, International District, Stadium, SODO, Beacon Hill, Mount Baker, Columbia City, Othello, Rainier Beach, and Tukwila International Boulevard Stations, as well as the Operations & Maintenance Facility.

The Initial Segment starts in a cut-and-cover tunnel under Pine Street and travels through the Downtown Seattle Transit Tunnel (DSTT) utilizing existing stations at Westlake, University Street (now Symphony Station), Pioneer Square, and the International District.

From the International District Station (IDS) the alignment extends south along the east side of the Metro E-3 Busway to the Stadium Station south of Royal Brougham Way, continuing to the SODO Station north of Lander Street. After crossing Lander Street at-grade, it transitions to elevated track before turning east. It travels on elevated tracks along the south side of Forest Street and crosses over Airport Way. The Central Operations and Maintenance Facility (OMF-C) is south of South Forest Street and west of Airport Way on the site of the old Rainier bottling plant south of downtown Seattle. The OMF-C is a four-story structure that includes component

repair shops, an electronics repair shop, a signals and communications lab, back-up control room, training rooms, and office space for management and administrative personnel.

The light rail route enters Beacon Hill in a tunnel that starts under Interstate 5 and continues approximately one mile to the east where it emerges at McClellan Street and 25th Avenue. The deep subway Beacon Hill Station, with elevator access only, is located at the intersection of Lander Street and Beacon Avenue.

The light rail route emerges from the Beacon Hill tunnel to an elevated station at South McClellan Street. The route continues on elevated tracks to South Walden Street and then runs at street level in the center median of Martin Luther King Jr. Way South to a point just north of the Boeing Access Road.

The route crosses I-5 on the south side of Boeing Access Road and then travels on elevated tracks along the west side of East Marginal Way, crossing over the Duwamish River and State Route 599. The route continues in a combination of elevated tracks and short at-grade sections on the west side of State Route 599, then along the west side of I-5. Near South 151st Street, the route turns west on elevated tracks along the north side of State Route 518 to the Tukwila International Boulevard Station and park-and-ride lot at South 154th Street.

Airport Link Extension: 1.7 Miles

The Airport Link Extension opened in December 2009 and consists of 1.7 miles of elevated and at grade double track that travels south from the Tukwila International Boulevard Station (southern terminus of the Initial Segment) on an elevated structure, crossing over SR-518, and transitions to at-grade north of the South 160th Street Bridge. The alignment continues south in the median of the re-aligned North Airport Expressway, crosses over South 170th Street, and continues on an elevated structure on the site of the light rail station near the main terminal/garage of the SeaTac International Airport. The SeaTac Airport Station directly connects pedestrians to the airport ticketing concourse via a covered, pedestrian-only walkway, and to SeaTac's City Center via a pedestrian overpass.

University Link Extension: 3.15 Miles

The University Link Extension opened in March 2016 and consists of 3.15 miles of underground double track through twin tunnels from Pine Street Stub (northern terminus of the Initial Segment) beneath the Capitol Hill District and Lake Washington Ship Canal to the University of Washington's Husky Stadium. The University Link (commonly known as U-Link) Extension includes two stations: Capitol Hill and University of Washington.

South 200th Link Extension: 1.6 Miles

The South 200th Link Extension opened in September 2016 and consists of 1.6 miles of elevated, double-tracked guideway that travels from the SeaTac Airport Station (southern terminus of the Airport Extension) in an aerial configuration along the west side of International Blvd., turns southwest to cross South 188th Street, and continues in an aerial configuration along the east side of 28th Avenue South. The South 200th Street Extension includes one station: Angle Lake.

Northgate Link Extension: 4.3 Miles

The Northgate Link Extension opened in October 2021 and extends 4.3 miles from the University of Washington Station (UWS) to Northgate Station. From UWS, trains continue north in an approximately 3.3-mile twin-bore tunnel to the U District Station under Brooklyn Avenue NE, south of NE 45th Street. Continuing north through the tunnel, the route reaches Roosevelt Station, just west of 12th Avenue NE, between NE 65th Street and NE 67th Street. From Roosevelt Station, trains move to the surface at Maple Leaf Portal located immediately north of the Lake City Way interchange with I-5. From the portal, trains will continue north at grade level along I-5, then cross over First Avenue NE, south of NE 100th Street on an elevated structure and connect to the elevated Northgate Station.

Lynnwood Link Extension: 8.5 Miles

The Lynnwood Link Extension (LLE) is an 8.5-mile, fully grade-separated light rail extension running along the Interstate 5 corridor from Northgate in Seattle to the Lynnwood Transit Center. All stations are ADA-compliant and equipped with vertical transportation elements. The extension serves four stations, each with multiple transit connections and parking:

- **Shoreline South/148th** - Elevated station near the NE 145th Street I-5 exit, with a 500-space parking garage.
- **Shoreline North/185th** - Located on the east side of I-5, serving Shoreline Stadium, the Shoreline Conference Center, and surrounding neighborhoods, with improved pedestrian access to the west side of I-5 and a 500-space parking garage.
- **Mountlake Terrace** - Elevated station at the Mountlake Terrace Transit Center, within walking distance of the library, city hall, and the future Gateway transit-oriented development. Existing garage provides 890 spaces.
- **Lynnwood City Center** - Located at one of the region's busiest transit centers, with a new five-story, 1,670-stall garage.

A fifth station at NE 130th Street in Seattle, added through the ST3 ballot measure approved by voters in 2016, is scheduled to open in 2026. It will serve a growing residential neighborhood between the Northgate and Shoreline South/148th stations.

Federal Way Link Extension: 7.8 Miles

The Federal Way Link Extension continues the 1 Line south from Angle Lake to Federal Way. Three additional stations have been added, Kent/Des Moines Station, Star Lake Station, and Downtown Federal Way Station. Track alternates between surface and elevated, and there are five Traction Power Substations. There are parking structures at all three stations, providing 3,200 spaces for commuters.

East Link (2 Line): 14.9 Miles

The East Link Extension, operating as the 2 Line, opened in April 2024 and extends 14.9 miles from Judkins Park Station in Seattle to Downtown Redmond Station.

The 2 Line travels east from Judkins Park along the Interstate 90 corridor, through the Mount Baker Tunnel and across the Homer M. Hadley Floating Bridge, serving Mercer Island and South Bellevue before continuing through Bellevue and Redmond. The alignment uses a combination of tunnel, elevated, retained-cut, and at-grade guideway segments. Stations along

the route include East Main, Bellevue Downtown, Wilburton, Spring District/120th, Bel-Red/130th, Overlake Village, and Redmond Technology. In 2025, two additional stations: Marymoor Village and Downtown Redmond opened, completing the line.

Stations

Sound Transit currently operates 45 passenger rail stations across the 1 Line, 2 Line, and T Line systems. Stations are located in tunnels, on aerial/elevated structures, at-grade, and in retained-cut sections. Stations utilize either center platform or side platform configurations. Station inventories identify station spacing, alignment type, and platform configuration.

- Station platforms are designed to accommodate low-floor light rail vehicles (LRVs). Platform lengths vary by mode and operating requirements.
- Access to at-grade stations is provided by walkways and ADA-compliant ramps. Access to elevated and underground stations is provided through combinations of stairs, elevators, escalators, and ramps where applicable. Beacon Hill Station, a deep mined station, is primarily accessed by high-speed elevators.
- Stations are equipped with ticket vending machines (TVMs), closed-circuit television (CCTV), public address systems, passenger information systems, passenger emergency telephones, and other customer information and security features.
- Underground stations are equipped with fire detection and suppression systems, emergency ventilation systems, and associated monitoring and control systems. These systems are controlled locally through Fire Command Centers and Emergency Management Panels and are monitored remotely through the Link Control Center (LCC) via SCADA and fire alarm systems.
- Safety, security, accessibility, visibility, and environmental design principles have been incorporated into the design of the stations and other facilities.

Sound Transit Agency Safety Plan

Section	Miles	Station	Right-of-Way	Vertical Alignment	Platform
Lynnwood Link Extension: 8.5 miles					
8.5		Lynnwood City Center Station	Exclusive	Aerial	Center
		Mountlake Terrace Station	Exclusive	Aerial	Center
		Shoreline North Station	Exclusive	At Grade	Side
		Shoreline South Station	Exclusive	Aerial	Center
Northgate Link Extension: 4.3 miles					
4.3		Northgate Station	Exclusive	Aerial	Center
		Roosevelt Station	Exclusive	Tunnel	Center
		U District Station	Exclusive	Tunnel	Center
University Link Extension: 3.15 miles					
3.15		Capitol Hill Station	Exclusive	Tunnel	Center
		University of Washington Station	Exclusive	Tunnel	Center
Initial Segment: 13.9 miles					
Downtown Seattle Transit Tunnel	1.8	Westlake Station	Exclusive	Tunnel	Side
		Symphony Station	Exclusive	Tunnel	Side
		Pioneer Square Station	Exclusive	Tunnel	Side
		International District Station	Exclusive	Tunnel	Side
South Downtown Seattle	2.8	Stadium Station	Semi-exclusive	At-grade	Center
		SODO Station	Semi-exclusive	At-grade	Side
		Beacon Hill Station	Exclusive	Tunnel	Center
		Mt Baker Station	Exclusive	Aerial	Side
Rainier Valley	4.3	Columbia City Station	Mixed traffic	At-grade	Side
		Othello Street Station	Mixed traffic	At-grade	Side
		Rainier Beach Station	Mixed traffic	At-grade	Center
Tukwila	5.0	Tukwila Intl Blvd Station	Exclusive	Aerial	Side
Airport Link Extension: 1.7 miles					
1.7		Airport Station	Exclusive	Aerial	Center
South 200th Street Extension: 1.6 miles					
1.6		Angle Lake Station	Exclusive	Aerial	Center
Federal Way Link Extension: 7.8 miles					
	7.8	Kent Des Moines Station	Exclusive	Aerial	Center
		Star Lake Station	Exclusive	Aerial	Center
		Federal Way Downtown Station	Exclusive	Aerial	Center
East Link Extension: 14.9 miles					
	14.9	Judkins Park Station	Exclusive	Elevated	Center
		Mercer Island Station	Exclusive	At-grade	Center
		South Bellevue Station	Exclusive	Elevated	Center
		East Main Station	Exclusive	At-grade	Side
		Bellevue Downtown Station	Exclusive	Above-Grade	Side
		Wilburton Station	Exclusive	Elevated	Center
		Spring District Station	Exclusive	Below-Grade	Side

Section	Miles	Station	Right-of-Way	Vertical Alignment	Platform
		Bel-Red Station	Mixed traffic	At-grade	Side
		Overlake Village Station	Exclusive	At-grade	Side
		Redmond Technology Center Station	Exclusive	At-grade	Center
		Marymoor Village Station	Exclusive	At-grade	Center
		Downtown Redmond Station	Exclusive	Aerial	Center

Table 2: Alignment of Link lines 1 and 2

Link Light Rail – T Line

Tacoma’s T Line is a 4-mile at grade light rail line and serves twelve stations.

Alignment

The system begins at the T Line Operations Maintenance Facility (OMF-T) at McKinley Street on East 25th Street. From the OMF-T, a single-track segment proceeds from the yard lead, through Tacoma Dome Station, to 25th Street Station. From 25th Street Station, it continues onto Pacific Avenue to the 21st Street switch. At South 21st Street, the line becomes double tracked. Trains can cross from inbound to outbound at the 21st Street switch if needed.

The remainder of the T Line is double track until entering the switch for the tail track at 18th and MLK Jr. Way. At Hood Street, near South 17th Street, the alignment shifts from Pacific Avenue to Commerce Street. At the intersection of 7th and Commerce Street, trains can cross over to proceed inbound or outbound if needed. The alignment continues along Commerce Street, which transitions to South Stadium Way at I-705. From I-705, the alignment continues through South Stadium Way which transitions at Division Ave into North E Street. The track continues from North E Street onto N 1st Street. North 1st Street transitions into Division Ave. Division Avenue makes its final turn onto MLK Jr Way until St Joseph station which is the final outbound station. St. Joseph station is located at 18th and MLK Jr Way.

The double track then converges with a switch located at 18th and MLK Jr Way. From this spring switch the two lines become one and form a tail track which has room for two LRV cars total. The track of the entire alignment is embedded in the street. The track is in shared mixed traffic lanes from Convention Center Station to St. Joseph Station. The line crosses twenty-five traffic signal-controlled intersections, five pedestrian signal-controlled crossings, and fifteen unsignalized pedestrian crossings.

Signals (Train and Traffic)

The operation on street-median rights-of-way, where LRVs are subject to traffic signals at intersections, presents the potential for delays to trains. The coordinated downtown traffic signal system in Tacoma shall recognize the approach of a train to an intersection and, under most circumstances, shall provide priority to the train and to certain non-conflicting vehicular traffic to allow the LRV to continue through the intersection safely. Under some circumstances, however, a train can receive a stop signal and is required to stop prior to the intersection. These conditions may include initiation of a conflicting pedestrian crossing phase prior to the call for priority, a call for priority during the “rearm” interval that occurs following the crossing of an

earlier train, and the timeout of a granted priority due to a delay to the train following the request. The operator on a stopped train held by a traffic signal may request priority by using the Train to Wayside Communication System (TWC) request or the EMTRAC manual call button in the operating cab. TWC is used from the TOMF to 9th and Commerce. EMTRAC is used to call signals from 7th and Commerce to the EOL tail track.

Stations

Each station is of simple design with low-level boarding platforms, shelter canopies, pedestrian and street lighting, street furniture, and information aids. Public address, and emergency telephones are not provided. Sound Transit Facilities Maintenance maintains the T Line stations.

Operations and Maintenance Facility

The T Line OMF (TOMF) is located just east of the Tacoma Dome station and is the southern terminus of the line. The TOMF provides ongoing daily maintenance, running repairs, and regular inspections. Heavy maintenance and bodywork are performed both on- and off-site. The OMF also houses the administrative offices for T Line Operations and the train operator for check-in/dispatch area. Facilities Maintenance maintains the TOMF.

Light Rail Vehicles

Sound Transit procured three LRVs for the T Line initial segment service. These vehicles were manufactured by Škoda and supplied by Inekon, in the Czech Republic, and is based on their Astra car, with several differences for the US market.

For the Hilltop Tacoma Link Extension, Sound Transit procured five new LRVs from Brookville Equipment Company located in Brookville, Pennsylvania. The Liberty NXT LRV being manufactured is a new redesigned platform of their original Liberty Streetcar.

Differences from 1 and 2 Line Light Rail Vehicles

The T Line LRVs are significantly different from the 1 Line LRVs. Major differences in the Tacoma vehicles include the following: the vehicles are shorter, narrower, operate at 750 Vdc instead of 1500 Vdc, the vehicles are designed to operate under normal conditions as single vehicles only, and use bridge plates for wheelchair access instead of level boarding. The supplier of the propulsion is Siemens on Skoda LRVs and ABB on the Brookville LRV.

ST Express Regional Bus Service

Sound Transit's ST Express bus service was launched in September 1999 with nine regional routes. Today, Sound Transit has over twenty bus routes that connect the major urban centers of Bellevue, Everett, Tacoma, and Seattle with other cities and communities in the Central Puget Sound area. The service area covers the urbanized areas of Snohomish County, East King County, Seattle, South King County, and Pierce County.

Sound Transit currently employs three operating partners by intergovernmental agreement to operate and maintain ST Express service: King County Metro (KCM), Pierce Transit (PT) and Community Transit (CT). These three partners operate the routes using 307 buses within Snohomish, King, and Pierce Counties.

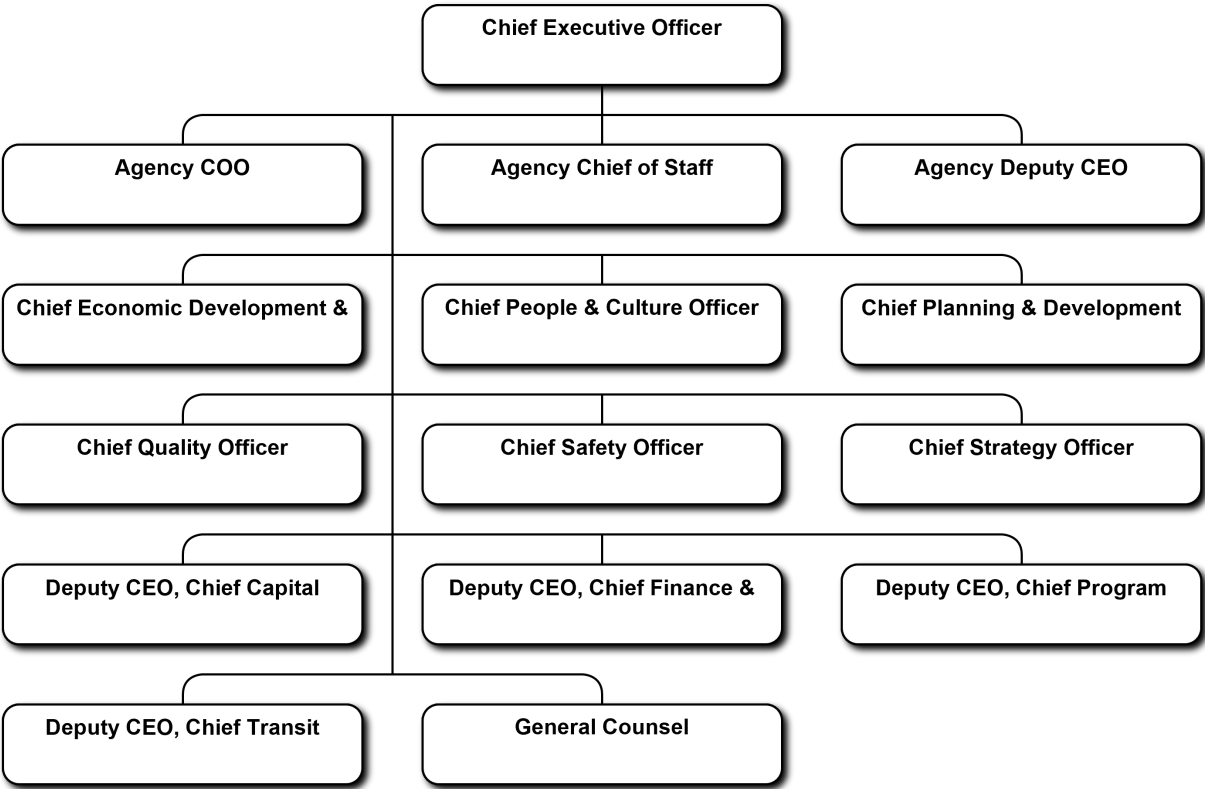
The ST Express fleet includes 40-, 45- and 60-foot diesel buses, 40- and 60-foot hybrid buses, 40- and 45- foot natural gas buses and 42-foot double deck diesel buses. All buses are ADA accessible, have bicycle racks, air conditioning, and “kneel” which lowers the bus to help passengers board.

Future Expansion

Voters approved Sound Transit 2 (ST2) in 2008 and Sound Transit 3 (ST3) in 2016, authorizing a phased regional expansion of light rail, and bus rapid transit across King, Snohomish, and Pierce Counties. The regional light rail system has grown to over 50 miles with completed extensions to Northgate, Lynnwood, Bellevue, Overlake, and Kent/Des Moines, with the long-term vision extending the system to over 110 miles. Planned ST3 extensions include light rail to West Seattle, Ballard, Tacoma, and Everett, as well as new service between South Kirkland and Issaquah. In addition, new Bus Rapid Transit corridors along SR 522 and I-405 will complement ST Express bus service on major regional corridors.

In May 2026, the Sound Transit Board adopted an updated ST3 System Plan to address a projected funding gap driven by rising construction costs, inflation, and post-pandemic revenue challenges. Under the revised plan, the West Seattle Link Extension and the initial Ballard Link segment to Seattle Center remain fully funded, while some extensions will require additional funding before construction can proceed. Sound Transit remains committed to delivering the ST3 program as efficiently as possible.

Appendix B: Organizational Structure Overview



Appendix C: Safety Policy Statements

Agency Policy 2103



Approved by: Signed by: <i>Dow Constantine</i> 0399A8660C810458... Chief Executive Officer	Agency Policy 2103	Safety
	Safety Management	
	Effective Date: 5/22/2025	

Safety Management

1.0 Scope

- 1.1 This policy applies to Sound Transit's compliance with federal regulations relative to the management of safety plans and systems.

2.0 Policy

- 2.1 Sound Transit is committed to the safety of passengers, employees, contractors, emergency responders, and the public and complies with applicable legal regulations, industry best practices, and other requirements.
- 2.2 In compliance with legal requirements and the Board safety policy, the chief executive officer (CEO) retains accountability for the agency's safety management system as the accountable executive. The chief safety officer reports directly to the CEO and has delegated authority and responsibility to implement and maintain the safety management system, including:
- 2.2.1 Achieving the objectives articulated in the Board's Safety Policy.
 - 2.2.2 Maintaining compliance with all applicable federal, state, and local laws and regulations and incorporate industry best practices.
 - 2.2.3 Implementing and maintaining appropriate procedures, including the agency safety plan and system safety plan.
 - 2.2.4 Escalating any safety issues, risks, or deviations directly to the CEO whenever necessary.
 - 2.2.5 Regularly reporting on safety performance and targets to the CEO.
 - 2.2.6 Preserving a safety committee structure made up of at least fifty percent frontline workers.
 - 2.2.7 Sustaining a non-punitive process for transit workers to report safety concerns to senior management, up to and including disciplinary actions as documented in the employee handbook.
 - 2.2.8 Regularly communicating about this policy and training employees and contractors regarding their safety responsibilities.
- 2.3 Failure to comply with this policy may result in corrective action up to and including termination.

3.0 References

- 3.1 Resolution No. R2017-13 Safety Policy

[Board Policy 2017-13](#)
RESOLUTION NO. R2017-13
Adopting a Safety Policy

MEETING:	DATE:	TYPE OF ACTION:	STAFF CONTACT:
Executive Committee	04/06/2017	Recommend to Board	Mike Harbour, Deputy Chief Executive Officer
Board	04/27/2017	Final Action	Salah Al-Tamimi, Director of Safety & Quality Assurance Robert Taaffe, Senior Construction Safety Manager

PROPOSED ACTION

Adopting a Safety Policy

KEY FEATURES SUMMARY

- This action establishes the Board's commitment to the safety of passengers, employees, contractors, emergency responders and the public through the adoption of a Safety Policy.
- The policy is a key first step in establishing a Safety Management System (SMS) for the agency and focusing the agency's safety management efforts on promoting a safety culture.
- The policy:
 - Emphasizes the importance of safe and healthy working conditions for all employees and requires that all employees receive adequate safety training, including awareness of the agency's procedures for identifying and reporting safety concerns and hazards.
 - Requires the agency to measure safety performance using audits, performance indicators and targets to continually improve safety performance
 - Requires contractors to adhere to the agency's safety management goals and processes
- The policy requires the chief executive officer to establish and implement a SMS, which includes promoting awareness of this policy to all Sound Transit employees, contractors, and consultants.

BACKGROUND

Sound Transit is committed to following guidance from the Federal Transit Administration (FTA) on how transit agencies should structure their safety programs. The SMS approach is the basis for FTA's National Public Transportation Safety Program. A significant element of this framework is adoption of a safety policy to establish structure to identify and address safety and risks through proactive, real-time, and data-driven actions and decision-making.

FISCAL INFORMATION

While there is no direct fiscal action associated with the proposed action, occurrence of accidents or hazards may have fiscal impacts to the agency. Those impacts will be addressed as they are presented.

SMALL BUSINESS/DBE PARTICIPATION AND APPRENTICESHIP UTILIZATION

The safety policy will be shared with all contractors and consultants under contract to Sound Transit. This will include contractors and subcontractors that may be defined as a small business or have apprentices performing tasks on a Sound Transit contract.

PUBLIC INVOLVEMENT

Not applicable to this action.

TIME CONSTRAINTS

A one-month delay of this action would not create a significant impact.

ENVIRONMENTAL REVIEW

JI 4/3/2017

LEGAL REVIEW

JW 4/3/2017

